Edgar Filing: Brandt Bryan K - Form 4

Brandt Brya Form 4										
November 2								OMB AF	PROVAL	
	UNITED	STATES SECUI Wa		AND EXC , D.C. 205		IGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section 7 Form 4 of Form 5 obligatio	ger 5 16. 5 5 5 Filed pur	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Expires: January 31, 2005 Estimated average burden hours per response 0.5	
may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the Public U 30(h) of the Ii	•	I						
(Print or Type	Responses)									
1. Name and A Brandt Brya	Symbol	I					5. Relationship of Reporting Person(s) to Issuer			
(Last) C/O OSHK CORPORA STREET		(Month/1 11/19/2	of Earliest T Day/Year) 2018	ransaction		-	Director X Officer (give below)		Owner r (specify	
	(Street)		endment, D onth/Day/Yea	ate Original r)			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Per	rson	
OSHKOSH	, WI 54902					i	Person	ore than One Re	porting	
(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securitie pror Disposed (Instr. 3, 4 a	d of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	11/20/2019		Code V	Amount	(D)	Price	(Instr. 3 and 4)	D		
Stock	11/20/2018		М	563.332	А	<u>(1)</u>	1,115.294	D		
Common Stock	11/20/2018		F	181	D	\$ 65.29	934.294	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securitie (A) or D (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Options (2)	\$ 66.09	11/19/2018		А	3,500		(3)	11/19/2028	Common Stock	3
Restricted Stock Units	<u>(4)</u>	11/19/2018		А	2,125		(5)	(5)	Common Stock	2
Restricted Stock Units	<u>(1)</u>	11/20/2018		М		563.332	11/20/2018	(6)	Common Stock	56

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Brandt Bryan K C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902			SVP & Chief Marketing Officer			
Signatures						
Ignacio A. Cortina, for Bryan K.		11/21/2018				

Brandt

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.