

FIRST OPPORTUNITY FUND INC

Form NSAR-B

May 19, 2015

PAGE 1
000 B000000 03/31/2015
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST OPPORTUNITY FUND, INC.
001 B000000 811-4605
001 C000000 3034445483
002 A000000 2344 SPRUCE STREET, SUITE A
002 B000000 BOULDER
002 C000000 CO
002 D010000 80302
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 STEWART WEST INDIES TRADING COMPANY, LTD
008 B000001 A
008 C000001 801-56522
008 D010001 ST. PETER
008 D050001 BARBADOS
008 A000002 ROCKY MOUNTAIN ADVISERS, LLC
008 B000002 A
008 C000002 801-70202
008 D010002 BOULDER
008 D020002 CO
008 D030002 80302
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC
010 B000001 84-1282398
010 C010001 BOULDER
010 C020001 CO
010 C030001 80302
010 A000002 ALPS FUND SERVICES, INC.
010 B000002 84-5730
010 C010002 DENVER
010 C020002 CO
010 C030002 80203
012 A000001 COMPUTERSHARE TRUST COMPANY, NA
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 DENVER
013 B020001 CO
PAGE 2
013 B030001 80202
015 A000001 BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

015 E010001 X
015 A000002 NATIONAL AUSTRALIA BANK
015 B000002 S
015 C010002 MELBOURNE
015 D010002 AUSTRALIA
015 E040002 X
015 A000003 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000003 S
015 C010003 COPENHAGEN
015 D010003 DENMARK
015 E040003 X
015 A000004 BNP PARIBAS SECURITIES SERVICES
015 B000004 S
015 C010004 PARIS
015 D010004 FRANCE
015 E040004 X
015 A000005 BANK OF NEW YORK, LONDON
015 B000005 S
015 C010005 LONDON
015 D010005 ENGLAND
015 E040005 X
015 A000006 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000006 S
015 C010006 KOWLOON
015 D010006 HONG KONG
015 E040006 X
015 A000007 DEUTSCHE BANK, AG (INDIA)
015 B000007 S
015 C010007 MUMBAI
015 D010007 INDIA
015 E040007 X
015 A000008 BANK OF TOKYO
015 B000008 S
015 C010008 TOKYO
015 D010008 JAPAN
015 E040008 X
015 A000009 NATIONAL AUSTRALIA BANK NEW ZEALAND-NATIONAL
015 B000009 S
015 C010009 WELLINGTON
015 D010009 NEW ZEALAND
015 E040009 X
015 A000010 DEUTSCHE BANK (TURKEY)
015 B000010 S
015 C010010 ISTANBUL
015 D010010 TURKEY
PAGE 3
015 E040010 X
015 A000011 DEUTSCHE BANK, AG (JAKARTA)
015 B000011 S
015 C010011 JAKARTA
015 D010011 INDONESIA
015 E040011 X
015 A000012 EUROCLEAR
015 B000012 S
015 C010012 BRUSSELS
015 D010012 BELGIUM
015 E040012 X
015 A000013 CLEARSTREAM BANKING S.A.
015 B000013 S
015 C010013 FRANKFURT
015 D010013 GERMANY
015 E040013 X

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

018 000000 Y
 019 A000000 Y
 019 B000000 4
 019 C000000 BOULDERINV
 020 A000001 BNY CONVERGEX EXECUTION SOLUTIONS LLC
 020 B000001 13-3989198
 020 C000001 5
 020 A000002 KNIGHT CAPITAL GROUP
 020 B000002 22-3660471
 020 C000002 2
 020 A000003 BLOOMBERG TRADEBOOK LLC
 020 B000003 13-3880286
 020 C000003 2
 021 000000 9
 022 A000001 DREYFUS TREASURY CASH MANAGEMENT
 022 C000001 122618
 022 D000001 85058
 022 A000002 JP MORGAN PRIME MONEY MARKET FUND
 022 C000002 55300
 022 D000002 58300
 023 C000000 177918
 023 D000000 143358
 024 000000 N
 026 A000000 N
 026 B000000 N
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 Y
 PAGE 4
 047 000000 Y
 048 000000 1.250
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

051 000000 N
052 000000 N
053 A000000 Y
053 B000000 Y
053 C000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 N
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N

PAGE 5

059 000000 Y
060 A000000 N
060 B000000 N
061 000000
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0

0

066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 Y
 070 I020000 N

PAGE 6

070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 Y
 070 P020000 N
 070 Q010000 Y
 070 Q020000 N
 070 R010000 Y
 070 R020000 N

| | |
|-------------|--------|
| 071 A000000 | 40032 |
| 071 B000000 | 119399 |
| 071 C000000 | 280023 |
| 071 D000000 | 14 |
| 072 A000000 | 12 |
| 072 B000000 | 16 |
| 072 C000000 | 5567 |
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 3617 |
| 072 G000000 | 687 |
| 072 H000000 | 0 |
| 072 I000000 | 12 |
| 072 J000000 | 16 |
| 072 K000000 | 0 |
| 072 L000000 | 5 |
| 072 M000000 | 32 |
| 072 N000000 | 0 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 0 |
| 072 R000000 | 16 |
| 072 S000000 | 26 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 61 |
| 072 X000000 | 4472 |

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

| | |
|-------------|--|
| 072 Y000000 | 433 |
| 072 Z000000 | 1544 |
| 072AA000000 | 22650 |
| 072BB000000 | 0 |
| 072CC010000 | 0 |
| PAGE 7 | |
| 072CC020000 | 22042 |
| 072DD010000 | 6572 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.2287 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 0 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 0 |
| 074 M000000 | 0 |
| 074 N000000 | 0 |
| 074 O000000 | 0 |
| 074 P000000 | 0 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 0 |
| 074 S000000 | 0 |
| 074 T000000 | 0 |
| 074 U010000 | 0 |
| 074 U020000 | 0 |
| 074 V010000 | 0.00 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 0 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 346077 |
| 076 000000 | 0.00 |
| 077 A000000 | Y |
| 077 E000000 | Y |
| 077 Q020000 | Y |
| 078 000000 | Y |
| 080 A000000 | St. Paul Fire and Marine Insurance Company |
| 080 C000000 | 2775 |
| 081 A000000 | Y |
| 081 B000000 | 3 |
| 082 A000000 | N |
| 083 A000000 | N |
| PAGE 8 | |
| 084 A000000 | N |
| 085 A000000 | Y |
| 085 B000000 | N |
| 086 A010000 | 0 |

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

| | | |
|-----------|---------------------|--------------|
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | Common Stock |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | Nicole Murphey | |
| TITLE | Assistant Treasurer | |