Moran James M Form 4 April 07, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Moran James M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

NAVISTAR INTERNATIONAL

(Check all applicable)

Vice President and Treasurer

CORP [NAV]

04/06/2011

3. Date of Earliest Transaction (Month/Day/Year)

(Middle)

(Zip)

Director 10% Owner Other (specify X\_ Officer (give title

below)

NAVISTAR INTERNATIONAL CORPORATION, 4201 WINFIELD ROAD

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WARRENVILLE, IL 60555

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired (A)	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transacti	omr Dispo	sed of	(D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(A)		Reported	(I)	
					or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
			Code V	Amount	(D)	Price	(IIISII. 3 aliu 4)		
Common Stock	04/06/2011		M	630	A	\$ 26.15	6,842	D	
Common Stock	04/06/2011		S	630	D	\$ 68.9717 (1)	6,212	D	
Common Stock	04/06/2011		M	2,569	A	\$ 22.655	8,781	D	
Common Stock	04/06/2011		M	2,500	A	\$ 40.915	3,663	I	By wife

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Common Stock	04/06/2011	S	2,500	D	\$ 68.8368 (2)	1,163	I	By wife
Premium Shares (3)	04/06/2011	A	481	A	\$ 0	777	D	
Common Stock						194.4883 (4)	I	By wife through Navistar 401(k) Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Execution Date, if Transaction Date, if Code Secution Month/Day/Year) (Instr. 8) Acquired (A) of Disp (D)		posed of str. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 26.15	04/06/2011		M		630	<u>(5)</u>	10/18/2015	Common Stock	630
Employee Stock Option (right to buy)	\$ 22.655	04/06/2011		M		2,569	<u>(6)</u>	12/16/2018	Common Stock	2,569
Employee Stock Option (right to buy)	\$ 40.915	04/06/2011		M		2,500	<u>(7)</u>	12/14/2014	Common Stock	2,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Moran James M NAVISTAR INTERNATIONAL CORPORATION 4201 WINFIELD ROAD WARRENVILLE, IL 60555

Vice
President and
Treasurer

# **Signatures**

Curt A. Kramer, Attorney in fact

04/07/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.94 to \$68.98, inclusive. The reporting person undertakes to provide Navistar International Corporation, any security holder of Navistar
- International Corporation, or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) and (2) to this Form 4.
- (2) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.82 to \$68.88, inclusive.
- (3) The Premium Share Units were acquired under Navistar's Executive Stock Ownership Program. Each Premium Share Unit represents one share of Navistar Common Stock.
- (4) The reporting person, indirectly through his wife, acquired 0.0135 shares on February 4, 2010, as part of an allocation of proceeds received from settlement of a class action lawsuit. The allocation of proceeds was made to all Navistar 401(k) plan participants.
- (5) The Option, representing the right to purchase a total of 2,500 shares, became exercisable as to 834 shares on 10/18/2006; as to 833 shares on 10/18/2007; and as to 833 shares on 10/18/2008.
- The Option, representing the right to purchase a total of 6,968 shares, became exercisable as to 2,323 shares on 12/16/2009; as to 2,322 shares on 12/16/2010; and will become exercisable as to 2,323 shares on 12/16/2011.
- (7) The Option became exercisable as to 834 shares on 12/14/2005; as to 833 shares on 12/14/2006; and as to 833 shares on 12/14/2007.

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