### Edgar Filing: Wakefield Stephen E - Form 4

| Wakefield Ste<br>Form 4   | 1                                 |                     |                                     |  |                          |                |         |  |  |   |  |
|---|-----------------------------------|---------------------|-------------------------------------|--|--------------------------|----------------|---------|--|--|---|--|
| March 12, 2019<br>FORM 4<br>Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |                                   |                     |                                     |  |                          |                |         | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response  | irs per  |   |  |
| (Print or Type Ro   | •                                 |                     |                                     |  |                          |                |         |  |  |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Wakefield Stephen E   |                                   |                     | Symbol                              | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>AAON INC [AAON] |                          |                |         | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |   |  |
| (Last) (First) (Middle)<br>2425 SOUTH YUKON   |                                   |                     | (Month/D                            | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/11/2019        |                          |                |         | (Check all applicable) Director 10% OwnerX_ Officer (give title Other (specify                                     |  |   |  |
|   | (Street)                          |                     | 4. If Amer                          | ndment, Dat<br>th/Day/Year)  | e Original               |                |         | below)<br>VF<br>6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by                                       | -  | -   |  |
| TULSA, OK   | 74107                             |                     |                                     |  |                          |                |         |  | More than One Ro   |   |  |
| (City)  | (State)                           | (Zip)               | Table                               | e I - Non-De   | erivative S              | ecuri          | ties Ac | quired, Disposed o   | f, or Beneficia  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction I<br>(Month/Day/Ye | ear) Executi<br>any | emed<br>ion Date, if<br>h/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V                         | Disposed<br>(Instr. 3, 4 | (A) o<br>of (D | )       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock, par<br>value \$.004  | 03/11/2019                        |                     |                                     | А  | 4,000<br>(1)             | А              | \$0     | 7,996  | D  |   |  |
| Common<br>Stock, par<br>value \$.004  |                                   |                     |                                     |  |                          |                |         | 4,426  | I  | 401(k)<br>Plan  |  |
| Common<br>Stock   |                                   |                     |                                     |  |                          |                |         | 625  | I  | By Spouse   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. Pri<br>Deriv<br>Secur<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|-------------------------------------|------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 22.76  |   |   |  |   | 12/18/2016          | 12/18/2025         | Common<br>Stock   | 3,064                               |                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 26.47  |   |   |  |   | 08/01/2017          | 08/01/2026         | Common<br>Stock   | 1,415                               |                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 32.8   |   |   |  |   | 11/18/2017          | 11/18/2026         | Common<br>Stock   | 2,400                               |                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 36.95  |   |   |  |   | 01/02/2019          | 01/02/2028         | Common<br>Stock   | 22,200                              |                                    |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 41.37  |   |   |  |   | 03/11/2020          | 03/11/2029         | Common<br>Stock   | 91,000<br>(1)                       |                                    |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |             |       |  |  |  |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer     | Other |  |  |  |
| Wakefield Stephen E            |               |           | VP,         |       |  |  |  |
| 2425 SOUTH YUKON               |               |           | Engineering |       |  |  |  |

TULSA, OK 74107

## Signatures

Stephen E Wakefield

03/12/2019

<u>\*\*</u>Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Equity award granted under the Issuer's 2016 Long-Term Incentive Plan, vesting ratably on each of the first five anniversaries of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.