### Edgar Filing: SCHLENKER RICHARD L JR - Form 5

SCHLENKER RICHARD L JR Form 5 February 11, 2019 FORM 5

Check this box if

no longer subject

to Section 16.

5 obligations

may continue. See Instruction

1(b).

Reported

Form 4 Transactions Reported

Form 4 or Form

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per **OWNERSHIP OF SECURITIES** response... 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SCHLENKER RICHARD L JR Symbol

### EXPONENT INC [EXPO]

4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

12/28/2018

3. Statement for Issuer's Fiscal Year Ended

(Last) (First) (Middle)

### 149 COMMONWEATH DRIVE

(Street)

### MENLO PARK, CAÂ 94025

\_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

(Check all applicable)

EVP & Chief Financial Officer

(check applicable line)

below)

10% Owner

Other (specify

Director

Х

below)

\_Officer (give title

(City)	(State)	(Zip) Tab	ole I - Non-De	rivative S	ecurit	ies Acquired	l, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi or Dispo (Instr. 3,	(A) or		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/30/2018	Â	P <u>(1)</u>	198	A	\$ 37.3588	201,827	D	Â
Common Stock	06/29/2018	Â	P <u>(1)</u>	197	А	\$ 45.885	233,653	D	Â
Common Stock	09/28/2018	Â	P <u>(1)</u>	152	А	\$ 50.92	233,805	D	Â
Common Stock	12/28/2018	Â	P <u>(1)</u>	54	А	\$ 47.424	233,859	D	Â

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Dividend Equivalent Rights	Â	12/28/2018	Â	A <u>(3)</u>	233	Â	03/13/2019	03/13/2019	Common Stock	233
Dividend Equivalent Rights	Â	12/28/2018	Â	A <u>(3)</u>	201	Â	03/11/2020	03/11/2020	Common Stock	201

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHLENKER RICHARD L JR 149 COMMONWEATH DRIVE MENLO PARK, CA 94025	Â	Â	EVP & Chief Financial Officer	Â			

## Signatures

By: Wendy Whitehouse For: Richard L. 02/11/2019 Schlenker, Jr. Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Acquisition of stock pursuant to Exponent, Inc. Employee Stock Purchase Plan under the provisions of Section 423 of the Internal Revenue Code in a transaction exempt under SEC Rule 16b-3.

(2) 1-for-1.

(3) The dividend equivalent rights accrued with respect to RSUs, pursuant to dividends earned in 2018.

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### (4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.