### Edgar Filing: CAESARS ENTERTAINMENT Corp - Form 3

#### CAESARS ENTERTAINMENT Corp

Form 3

December 22, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CAESARS ENTERTAINMENT Corp [CZR] Sigala Ruben (Month/Day/Year) 12/20/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE CAESARS PALACE (Check all applicable) **DRIVE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **EVP & Chief Marketing Officer** Person LAS VEGAS, NVÂ 89109 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 27,519 Common Stock 59,172 (1) D Â Common Stock  $8,553 \stackrel{(2)}{=}$ D 36,932 (3) Â Common Stock D Â Common Stock 13,765 (4) D Common Stock  $29,250^{(5)}$ D Â Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	Derivative Security  2. Date Exer Expiration D (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee stock option (right to buy)	(6)	05/07/2024	Common Stock	12,230	\$ 21.18	D	Â
Employee stock option (right to buy)	(7)	08/21/2022	Common Stock	2,555	\$ 8.22	D	Â
Employee stock option (right to buy)	(8)	06/28/2023	Common Stock	12,155	\$ 13.7	D	Â
Employee stock option (right to buy)	(9)	05/29/2025	Common Stock	6,500	\$ 9.36	D	Â
Employee stock option (right to buy)	(10)	08/21/2022	Common Stock	2,555	\$ 8.22	D	Â
Employee stock option (right to buy)	(11)	08/21/2022	Common Stock	26,301	\$ 8.22	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>-</b>	Director	10% Owner	Officer	Other		
Sigala Ruben ONE CAESARS PALACE DRIVE LAS VEGAS, NV 89109	Â	Â	EVP & Chief Marketing Officer	Â		

## **Signatures**

/s/ Jill Eaton, by Power of Attorney, on behalf of Ruben
Sigala
12/22/2016

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects restricted stock units granted to the Reporting Person pursuant to Rule 16b-3, 100% of which will vest on January 5, 2018.
- Reflects restricted stock units granted to the Reporting Person pursuant to Rule 16b-3, of which 50% of original grant has vested and 25% will vest on each of May 7, 2017 and May 7, 2018.
- (3) Reflects restricted stock units granted to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of March 23, 2017, March 23, 2018 and March 23, 2019.
- Reflects restricted stock units granted to the Reporting Person pursuant to Rule 16b-3, of which 75% of original grant has vested and 25% will vest on January 2, 2017.

Reporting Owners 2

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- (5) Reflects restricted stock units granted to the Reporting Person pursuant to Rule 16b-3, of which 25% of original grant has vested and 25% will vest on each of March 1, 2017, March 1, 2018 and March 1, 2019.
- (6) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, of which 50% are vested and 25% will vest on each of May 7, 2017 and May 7, 2018.
- (7) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, of which 100% vest when share price is at \$35 per share for a 30-day consecutive period.
- (8) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, of which 75% has vested and 25% will vest on January 2, 2017.
- (9) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, of which 25% has vested and 25% will vest on each of March 23, 2017, March 23, 2018 and March 23, 2019.
- (10) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3 of which 100% are vested.
- (11) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3 of which 100% are vested.

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#### **Remarks:**

Mr. Sigala's title is pending all required regulatory approvals.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.