

AES CORP
Form 8-K
April 22, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): April 21, 2016

THE AES CORPORATION
(Exact name of Registrant as specified in its charter)

| | | |
|---|---------------------------|--------------------------------------|
| Delaware | 001-12291 | 54-1163725 |
| (State or other jurisdiction of incorporation) | Commission File Number | (IRS Employer Identification No.) |

| | |
|---|----------------|
| 4300 Wilson Boulevard, Suite 1100 | 22203 |
| Arlington, Virginia | (Zip code) |
| (Address of principal executive offices) | (703) 522-1315 |
| (Registrant's telephone number, including area code) | Not Applicable |
| (Former name or former address, if changed since last report) | |

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

On April 21, 2016, the Company held its Annual Meeting in Arlington, Virginia. The results of the matters voted on at the Annual Meeting are provided below.

Proposal 1: The election of ten directors to hold office for a one-year term expiring at the annual meeting in 2017 and until their respective successors are elected and qualified:

| Director Name | For | Withhold/ Against | Broker Non-Votes | Abstained |
|-----------------------|-------------|----------------------|---------------------|-----------|
| Andrés R. Gluski | 571,138,479 | 8,712,151 | 25,582,228 | 2,053,793 |
| Charles L. Harrington | 574,913,019 | 4,982,050 | 25,582,228 | 2,009,354 |
| Kristina M. Johnson | 573,893,654 | 5,999,594 | 25,582,228 | 2,011,175 |
| Tarun Khanna | 574,466,452 | 5,129,116 | 25,582,228 | 2,308,855 |
| Holly K. Koepfel | 574,658,019 | 5,245,078 | 25,582,228 | 2,001,326 |
| Philip Lader | 567,883,984 | 11,701,650 | 25,582,228 | 2,318,789 |
| James H. Miller | 574,329,571 | 5,267,143 | 25,582,228 | 2,307,709 |
| John B. Morse, Jr. | 571,861,456 | 8,032,170 | 25,582,228 | 2,010,797 |
| Moisés Naím | 574,528,663 | 5,365,835 | 25,582,228 | 2,009,925 |
| Charles O. Rossotti | 568,058,934 | 11,540,206 | 25,582,228 | 2,305,283 |

Proposal 2: The ratification of Ernst & Young LLP as AES' Independent Registered Public Accounting Firm for the year 2016.

For: 595,575,977
 Against: 11,467,319
 Abstained: 443,355
 Broker Non-Votes: 0

Proposal 3: The consideration of a nonbinding advisory vote on executive compensation.

For: 549,344,704
 Against: 32,124,530
 Abstained: 435,120
 Broker Non-Votes: 25,582,228

Proposal 4: The consideration of a nonbinding, advisory Stockholder proposal to seeking a report on Company policies and technological advances.

For: 207,732,006
 Against: 284,403,077
 Abstained: 89,769,340
 Broker Non-Votes: 25,582,228

