Sanfilippo John E Form 5 August 10, 2018

### FORM 5

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0362

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

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1.0

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response...

See Instruction
1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Sanfilippo John E Symbol SANFILIPPO JOHN B & SON INC (Check all applicable) [JBSS] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director \_X\_\_ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 06/28/2018 1900 PRATT (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

#### ELK GROVE VILLAGE, ILÂ 60007

\_X\_Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                   |   |      |   |  |   |  |
|--------------------------------------|---|--|---|-------------------|---|------|---|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | (A) or Dis<br>(D) | rities Acquired Disposed of  3, 4 and 5)  (A) or nt (D) Price |      | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class A<br>Common<br>Stock           | 04/11/2018                              | Â  | J <u>(1)</u>                            | 32,609            | A   | \$ 0 | 32,609  | I  | As Trustee  |  |
| Class A<br>Common<br>Stock           | Â                                       | Â  | Â                                       | Â                 | Â   | Â    | 50,170  | I (2)  | As Trustee  |  |
| Class A<br>Common<br>Stock           | Â                                       | Â  | Â                                       | Â                 | Â   | Â    | 40,008  | D (3)  | Â   |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc    | cisable and     | 7. Title     | and    | 8. Price of |  |
|--|-------------|-------------|---------------------|--------------------|-------------|------------|------------------|-----------------|--------------|--------|-------------|--|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D     | ate             | Amoun        | t of   | Derivative  |  |
|  | Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/      | Year)           | Underly      | ying   | Security    |  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e                |                 | Securiti     | ies    | (Instr. 5)  |  |
|  | Derivative  |             |                     |                    |             | Securities |                  | (Instr. 3       | tr. 3 and 4) |        |             |  |
|  |             | Security    |                     |                    |             | Acquired   |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | (A) or     |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | Disposed   |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | of (D)     |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | (Instr. 3, |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | 4, and 5)  |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             |            |                  |                 | /            | Amount |             |  |
|  |             |             |                     |                    |             |            |                  |                 |              |        |             |  |
|  |             |             |                     |                    |             | Date       | Expiration       | or<br>Title Num | Number       |        |             |  |
|  |             |             |                     |                    |             |            | Exercisable Date |                 | of           |        |             |  |
|  |             |             |                     |                    |             | (A) (D)    |                  |                 |              | Shares |             |  |
|  |             |             |                     |                    |             | (A) $(D)$  |                  |                 | 2            | mares  |             |  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sanfilippo John E

1900 PRATT Â Â X Â

ELK GROVE VILLAGE, ILÂ 60007

## **Signatures**

/s/Frank Pellegrino as Power of Attorney 08/10/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was appointed as sole trustee of the John E. Sanfilippo Trust Dtd 11/02/1991 on April 11, 2018. The shares reported are held in trust for the benefit of the reporting person.
- The reporting person was appointed as sole trustee of the John E. Sanfilippo Irrevocable Trust Dtd 10/06/2006 on February 23, 2018. The reporting person was a co-trustee of such trust prior to being appointed as sole trustee. The shares reported are held in trust for the benefit of the reporting person.
- (3) These shares are owned directly by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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