

STEIN MART INC  
Form 4  
September 06, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS JOHN H JR**

(Last) (First) (Middle)

**STEIN MARTIN INC, 1200 RIVERPLACE BLVD**

(Street)

**JACKSONVILLE, FL 32207**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**STEIN MART INC [SMRT]**

3. Date of Earliest Transaction (Month/Day/Year)  
**09/01/2005**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, \$0.01 Par Value	09/01/2005		M		75,000	A	\$ 13.8125
Common Stock, \$0.01 Par Value	09/01/2005		S		38,500	D	\$ 23.0367
Common Stock, \$0.01 Par Value	09/01/2005		S		100	D	\$ 23.14

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Common Stock, \$0.01 Par Value	09/01/2005	S	1,200	D	\$ 23.15	135,200	D
Common Stock, \$0.01 Par Value	09/01/2005	S	100	D	\$ 23.16	135,100	D
Common Stock, \$0.01 Par Value	09/01/2005	S	100	D	\$ 23.21	135,000	D
Common Stock, \$0.01 Par Value	09/01/2005	S	600	D	\$ 23.22	134,400	D
Common Stock, \$0.01 Par Value	09/01/2005	S	100	D	\$ 23.23	134,300	D
Common Stock, \$0.01 Par Value	09/01/2005	S	300	D	\$ 23.25	134,000	D
Common Stock, \$0.01 Par Value	09/01/2005	S	550	D	\$ 23.4	133,450	D
Common Stock, \$0.01 Par Value	09/01/2005	S	100	D	\$ 23.41	133,350	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,300	D	\$ 23.42	132,050	D
Common Stock, \$0.01 Par Value	09/01/2005	S	5,000	D	\$ 23.4748	127,050	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,000	D	\$ 23.49	126,050	D
	09/01/2005	S	500	D	\$ 23.5	23.5	D

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Common Stock, \$0.01 Par Value							
Common Stock, \$0.01 Par Value	09/01/2005	S	2,300	D	\$ 23.51	123,250	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,100	D	\$ 23.52	122,150	D
Common Stock, \$0.01 Par Value	09/01/2005	S	300	D	\$ 23.53	121,850	D
Common Stock, \$0.01 Par Value	09/01/2005	S	850	D	\$ 23.54	121,000	D
Common Stock, \$0.01 Par Value	09/01/2005	S	5,000	D	\$ 23.5595	116,000	D
Common Stock, \$0.01 Par Value	09/01/2005	S	971	D	\$ 23.57	115,029	D
Common Stock, \$0.01 Par Value	09/01/2005	S	2,600	D	\$ 23.6	112,429	D
Common Stock, \$0.01 Par Value	09/01/2005	S	2,500	D	\$ 23.63	109,929	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,900	D	\$ 23.64	108,029	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,000	D	\$ 23.65	107,029	D
	09/01/2005	S	29	D	\$ 23.66	107,000	D

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Common Stock, \$0.01 Par Value							
Common Stock, \$0.01 Par Value	09/01/2005	S	1,000	D	\$ 23.73	106,000	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,000	D	\$ 23.93	105,000	D
common Stock, \$0.01 Par Value	09/01/2005	S	3,800	D	\$ 24.28	101,200	D
Common Stock, \$0.01 Par Value	09/01/2005	S	1,200	D	\$ 24.42	100,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (to purchase)	\$ 13.8125	09/01/2005		M	75,000 <u>(1)</u>	<u>(2)</u>	03/14/2007	Common Stock, \$0.01 Par Value	75,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WILLIAMS JOHN H JR STEIN MARTIN INC 1200 RIVERPLACE BLVD JACKSONVILLE, FL 32207			Vice Chairman	

## Signatures

/s/ John H. Williams, Jr.	09/06/2005
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares exercised and sold in accordance with Rule 10b5-1 Trading Plan.
  - (2) Granted pursuant to Stein Mart, Inc. Employee Stock Option Plan. Shares vest 33% @ 3rd yr, 33% @ 4th yr & 34% @ 5th yr.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.