Edgar Filing: SHAH MAHENDRA - Form 4

SHAH MAF Form 4											
February 07, 2018 FORM 4 UNITED STATES SECURITIES AND EXCH						сна	NCFC	OMMISSION		PROVAL	
	UNITE	JSIAILS		shington,			INGE C	OMMINISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 c	MENT O	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: January 3 200 Estimated average burden hours per response 0			
Form 5 obligatio may com See Instr 1(b).	ns Section 17	7(a) of the	Public U		ding Cor	npan	y Act of	e Act of 1934, 1935 or Sectior 0	I		
(Print or Type]	Responses)										
SHAH MAHENDRA Symbol SOLE			Symbol	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			[SLNO]		APEU I.	ICS I	NC	(Check all applicable)			
(Last)(First)(Middle)3. Date of (Month/DC/O VIVO CAPITAL LLC, 50502/05/20HAMILTON AVENUE, SUITE 207				-				_X_Director10% Owner Officer (give titleOther (specify below) below)			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PALO ALT	O, CA 94301							Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative	Secu	rities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	02/05/2018			A	3,466 (1)	A	\$ 1.7263 (2)	27,153	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SHAH MAHENDRA C/O VIVO CAPITAL LLC 505 HAMILTON AVENUE, SUITE 207 PALO ALTO, CA 94301	х						
Signatures							
/s/ Anish Bhatnagar, Attorney-in-Fact	02/07/20	018					
**Signature of Reporting Person	Date						
Evaluation of Decause							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Effective January 1, 2017, annual board and committee retainers payable to directors will be made in equivalent shares of the Issuer's common stock, on a quarterly basis.
- (2) The number of shares issuable in lieu of cash retainers was determined using the closing price per share of Issuer common stock on February 2, 2018 or \$1.7263.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.