

Minnick James E.  
Form 4/A  
May 29, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Minnick James E.

2. Issuer Name and Ticker or Trading Symbol  
TriState Capital Holdings, Inc. [TSC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
150 N. RADNOR CHESTER ROAD, SUITE A200

3. Date of Earliest Transaction (Month/Day/Year)  
05/25/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
05/24/2018

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

RADNOR, PA 19087

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, no par value	05/25/2018		S		1,521,535 <sup>(1)</sup>	D	\$ 25.71 <sup>(2)</sup>
							1,852,158
						I	
Common Stock, no par value	05/25/2018		S		678,465 <sup>(1)</sup>	D	\$ 25.71 <sup>(2)</sup>
							825,891
						I	

By LM III TriState Holdings LLC <sup>(3)</sup> <sup>(4)</sup> <sup>(5)</sup>

By LM III-A TriState Holdings LLC <sup>(3)</sup> <sup>(4)</sup> <sup>(5)</sup>



## Edgar Filing: Minnick James E. - Form 4/A

- (3) Lovell Minnick Partners LLC ("LMP") is the managing member of Fund III UGP LLC, which is the general partner of Lovell Minnick Equity Advisors III LP, which is, in turn, the general partner of Lovell Minnick Equity Partners III LP ("LMEP III") and Lovell Minnick Equity Partners III-A LP ("LMEP III-A"). LMEP III is the managing member of LM III TriState Holdings LLC and LMEP III-A is the managing member of LM III-A TriState Holdings LLC.
- (4) Mr. Minnick may be deemed to beneficially own the shares of common stock reported herein by virtue of his being the co-chairman of LMP.
- (5) Mr. Minnick expressly disclaims beneficial ownership of the reported shares except to the extent of his pecuniary interest therein.

### Remarks:

The original Form 4 filed on May 24, 2018 incorrectly stated the transaction date was May 22, 2018. This amended Form 4 als

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.