#### GOTSCHALL JEFFREY P

Form 5

October 11, 2018

## FORM 5

**OMB APPROVAL** 

**OMB** Number:

3235-0362 January 31,

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5 obligations may continue. See Instruction 1(b).

Form 4 or Form

Check this box if

no longer subject

to Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported

30(h) of the Investment Company Act of 1940

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP OF SECURITIES

Form 4

Transactions Reported

1. Name and Address of Reporting Person ** GOTSCHALL JEFFREY P			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	SIFCO INDUSTRIES INC [SIF]  3. Statement for Issuer's Fiscal Year Ended	(Check all applicable)			
			(Month/Day/Year) 09/30/2018	X Director 10% Owner Officer (give title Other (specify below)			
970 E. 64TH	STREET			below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting			
				(check applicable line)			

### CLEVELAND, OHÂ 44103

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

• • • • • • • • • • • • • • • • • • • •	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit (A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Voting Trust Certificates	09/04/2018	Â	W	57,069	A	\$ 0	209,298	D	Â
Voting Trust Certificates	10/01/2018	Â	W	10,025	A	\$ 0	219,323	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table I - Non-Darivative Securities Acquired Disposed of or Reneficially Owned

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
GOTSCHALL JEFFREY P 970 E. 64TH STREET CLEVELAND, OH 44103	ÂX	Â	Â	Â		

# **Signatures**

/s/ Elizabeth Button - by power of attorney 10/11/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Voting Trust Certificates are for common stock of SIFCO Industries, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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