### Edgar Filing: Shabet Rose Sharon - Form 4

Shabet Rose	e Sharon									
Form 4										
March 19, 2	2019									
FORM	Л 4							OMB APPROVAL		
<b>CONVIA</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMMISSION	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHI SECURITIES					Expires: Estimated a burden hou response	0	
Form 5 obligati- may con <i>See</i> Inst 1(b).	ons ntinue. ruction	(a) of the Public 30(h) of the	Utility Hol	lding Compa	any A	ct of	1935 or Section			
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> VIKING GLOBAL PERFORMANCE LLC			2. Issuer Name <b>and</b> Ticker or Trading Symbol Axovant Sciences Ltd. [AXON]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (			-			(Check	all applicable	;)	
			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>03/18/2019</li></ul>				Director Officer (give title Other (specify below) Dther (specify below)			
			Amendment, Date Original l(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person			
GREENWICH, CT 06830 _X_ Form filed by More than One Reporting Person						eporting				
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative Sec	uritie	s Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securities A poor Disposed o (Instr. 3, 4 and	of (D)	ed (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Shares, par value \$0.00001 per share	03/18/2019		P <u>(1)</u>	6,666,667	A	\$ 1.5	105,952,381	Ι	See Footnotes (2) $(3)$ $(4)$ $(5)$	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Hume / Address	Director	10% Owner	Officer	Other		
VIKING GLOBAL PERFORMANCE LLC 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
Viking Global Equities LP 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
Viking Global Equities II LP 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
VGE III Portfolio Ltd. 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
Viking Global Equities Master Ltd. 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
Viking Long Fund Master Ltd. 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
Viking Long Fund GP LLC 55 RAILROAD AVENUE GREENWICH, CT 06830		Х				
HALVORSEN OLE ANDREAS C/O VIKING GLOBAL INVESTORS LP 280 PARK AVE 35TH		Х				

#### NEW YORK, NY 10017

Ott David C. C/O VIKING GLOBAL INVESTORS LP 280 PARK AVENUE NEW YORK, NY 10017

Shabet Rose Sharon C/O VIKING GLOBAL INVESTORS LP 280 PARK AVENUE NEW YORK, NY 10017

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## Signatures

/s/ O. Andreas Halvorsen (6)(7)	03/19/2019			
<u>**</u> Signature of Reporting Person	Date			
/s/ David C. Ott (6)(7)	03/19/2019			
<u>**</u> Signature of Reporting Person	Date			
/s/ Rose Shabet (6)(7)	03/19/2019			
<u>**</u> Signature of Reporting Person	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Persons (as defined below) do not directly own any shares of common stock (the "Common Shares") of Axovant Sciences Ltd. (the "Issuer"). The Reporting Persons acquired Roivant Sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") common shares (the "Roivant") of the sciences Ltd. ("Roivant") of the sciences Ltd. ("Roiv

(1) Common Shares") on December 8, 2015. Roivant directly holds the 105,952,381 Common Shares reported herein. Due to certain governance arrangements set forth in Roivant's by-laws, as of the appointment of an independent director (within the meaning of that term under Roivant's by-laws) to Roivant's board of directors effective July 8, 2016, the Reporting Persons may be deemed to have beneficial ownership over the Common Shares held by Roivant.

O. Andreas Halvorsen, David C. Ott and Rose S. Shabet are Executive Committee members of certain management entities, including Viking Global Partners LLC, the general partner of Viking Global Investors LP ("VGI"), Viking Global Performance LLC ("VGP"), Viking Long Fund GP LLC ("VLFGP") and Viking Global Opportunities GP LLC ("Opportunities GP"), the sole owner of Viking

Global Opportunities Portfolio GP LLC ("Opportunities Portfolio GP"). VGI provides managerial services to various investment funds, including Viking Global Equities LP ("VGE"), Viking Global Equities II LP ("VGE II"), VGE III Portfolio Ltd. ("VGE III"), Viking Global Equities Master Ltd. ("VGEM"), Viking Long Fund Master Ltd.

- (3) ("VLFM") and Viking Global Opportunities Illiquid Investments Sub-Master LP ("Opportunities Fund", and together with VGE, VGE II, VGE III, VGEM and VLFM, the "Funds" and the Funds together with VGI, VGP, VLFGP, Opportunities GP, Opportunities Portfolio GP, O. Andreas Halvorsen, David C. Ott and Rose S. Shabet, the "Reporting Persons").
- VGP is the general partner of VGE, VGE II and VGEM and the investment manager of VGE III. VLFGP serves as the investment
   (4) manager of VLFM. Opportunities GP serves as the sole member of Opportunities Portfolio GP. Opportunities Portfolio GP serves as the general partner of Opportunities Fund.

The Reporting Persons disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purpose.

#### **Remarks:**

(2)

(6) The Reporting Persons are jointly filing this Form 4 pursuant to Rule 16a-3(j) under the Exchange Act. (7) Each of O. And

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.