

Edgar Filing: FICALORA JOSEPH R - Form 5

FICALORA JOSEPH R  
Form 5  
February 14, 2003

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| FORM 5 |
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U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

[ ] Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

[ ] Form 3 Holdings Reported
[ ] Form 4 Transactions Reported

(Print or Type Responses)

1. Name and Address of Reporting Person\*

Ficalora Joseph R.
(Last) (First) (Middle)
615 Merrick Avenue
(Street)
Westbury NY 11590
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol New York Community Bancorp, Inc.
(NYB)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year December 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

X Director X Officer \_\_\_ 10% Owner \_\_\_ Other

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----- (give title below) (specify below)  
 President and CEO  
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7. Individual or Joint/Group Filing  
 (Check Applicable Line)

- Form filed by One Reporting Person
- Form filed by More than One Reporting Person

TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 2A. Deemed Execution Date, if any (mm/dd/yy) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned (Instr. 3) |
|---------------------------------|--------------------------------|--|--------------------------------|---|---|
|                                 |                                |  | Code                           | Amount (A) or (D)   | Price (Instr. 3)                                      |
| Common Stock                    |                                |  |                                |   | 1,172   |
| Common Stock                    |                                |  |                                |   | 131   |
| Common Stock                    |                                |  |                                |   | 146   |
| Common Stock                    |                                |  |                                |   | 272   |
| Common Stock                    |                                |  |                                |   | 80  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(Over)  
 SEC 2270 (9-02)

FORM 5 (continued)

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative | 2. Conversion | 3. Transaction | 4. Transaction | 5. |
|------------------------|---------------|----------------|----------------|----|
|------------------------|---------------|----------------|----------------|----|

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| Security (Instr. 3)                 | Exercise Price of Derivative Security | Exercise Date (Month/Day/Year) | Option Code (Instr. 8) |
|-------------------------------------|---------------------------------------|--------------------------------|------------------------|
| Option to Purchase Common Stock (3) | \$22.23                               |                                |                        |
| Option to Purchase Common Stock (4) | \$27.405                              |                                |                        |
| Option to Purchase Common Stock (5) | \$28.54                               |                                |                        |
| Option to Purchase Common Stock (6) | \$24.61                               | 7/24/02                        | A                      |

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned At End of Year (Instr. 4) | 10. Ownership of Derivative Security: Direct (D) or Indirect (Instr. 4) |
|--|---|--|---|---|
| Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |   |
| 12/21/02   | 12/21/11  | Common Stock                               | 150,000   | D   |
| 7/24/02  | 1/24/12   | Common Stock                               | 713,812   | D   |
| 1/21/04  | 1/21/13   | Common Stock                               | 210,000   | D   |
| 7/24/03  | 7/24/12   | Common Stock                               | 150,000   | D   |

Explanation of Responses:

- (1) This form reflects increases in beneficial ownership resulting from exempt acquisitions under Plan ("ESOP") and 401(a) Incentive Savings Plan pursuant to Rule 16b-3(c).
- (2) Shares held in trust under the New York Community Bank Supplemental Benefits Plan ("SERP").
- (3) Options granted under the New York Community Bancorp, Inc. ("NYCB") 1997 Stock Option Plan t

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installments beginning on December 21, 2002.

- (4) Options automatically granted pursuant to the reload feature of the NYCB 1997 Stock Option Plan beginning on July 24, 2002.
- (5) Options granted pursuant to the NYCB 1997 Stock Option Plan that vest in three equal annual January 21, 2004.
- (6) Options granted pursuant to the NYCB 1997 Stock Option Plan that vest in three equal installments beginning on July 24, 2002.

/s/ Ilene A. Angarola

February 14, 2003

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\*\*Signature of Reporting Person

-----  
Date

By: Ilene A. Angarola, Power of Attorney  
For: Joseph R. Ficalora

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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