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NEUBERGER BERMAN INC Form 8-K April 24, 2001

> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

> > FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15 (d) of the Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): April 19, 2001

NEUBERGER BERMAN INC. (Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 1-15361

DELAWARE 06-1523639 (State or other jurisdiction of (I.R.S. Employer incorporation or organization) Identification No.)

> 605 Third Avenue New York, NY 10158 (Address of principal executive offices)

(212) 476-9000 (Registrant's telephone number, including area code)

ITEM 5. OTHER EVENTS

First Quarter 2001 Financial Results

On April 19, 2001, Corporation reported results of operations for the three months ended March 31, 2001. A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

Also, on April 19, 2001 the Corporation announced that its Board of Directors had declared the Corporation's dividend for the First quarter of 2001, in the amount of \$0.10 per share. The dividend will be payable on May 15, 2001 to stockholders of record at the close of business on May 1, 2001. A copy of the press release issued by the Corporation is attached as Exhibit 99.2 and incorporated herein by reference.

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

(c) Exhibits

The Exhibits listed on the Exhibit Index of this Form 8-K are filed

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herewith.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc. (Registrant)

Date: April 19, 2001

By: /s/ Jeffrey B. Lane Jeffrey B. Lane President and Chief Executive Officer

EXHIBIT INDEX

- 99.1 Press release issued by the Corporation on April 19, 2001, with respect to results of operations for the three months ended March 31, 2001.
- 99.2 Press release issued by the Corporation on April 19, 2001, with respect to the declaration of the Corporation's First quarter dividend.