TUEFFERS MARK

Form 4

January 31, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **TUEFFERS MARK**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

FS Bancorp, Inc. [FSBW] 3. Date of Earliest Transaction

(Check all applicable)

6920 220TH STREET, SUITE 300

(Street)

(Month/Day/Year)

_X__ Director 10% Owner Officer (give title Other (specify

01/29/2018

below) 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MOUNT LAKE TERRACE, WA 98043

| (City) | (State) (| Zip) Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|--------------------------------------|---|---|---|-----------|-------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | or | Price | Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 01/29/2018 | | M | 200 | A | \$ 16.89 | 200 | D | |
| Common Stock | 01/29/2018 | | S | 200 | D | \$ 56.26 | 0 | D | |
| Common Stock | 01/30/2018 | | M | 2,400 | A | \$ 16.89 | 2,400 | D | |
| Common Stock | 01/30/2018 | | S | 2,400 | D | \$ 56.25 | 0 | D | |
| Common Stock | | | | | | | 5,500 | I | By IRA #1 |

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| Common Stock | 7,500 | I | By IRA #2 |
|-----------------|-------|-------|-----------|
| Common Stock | 100 | I | By IRA #3 |
| Common Stock | 100 | I (1) | By IRA #4 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number ion f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|--|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 16.89 | 01/29/2018 | | M | 200 | 05/08/2015(2) | 05/08/2024 | Common Stock | 200 |
| Stock Option (Right to Buy) | \$ 16.89 | 01/30/2018 | | M | 2,400 | 05/08/2015(2) | 05/08/2024 | Common Stock | 2,400 |

Reporting Owners

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

X

TUEFFERS MARK 6920 220TH STREET, SUITE 300

MOUNT LAKE TERRACE, WA 98043

Reporting Owners 2 Edgar Filing: TUEFFERS MARK - Form 4

Signatures

/s/Mark Tueffers 01/30/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held in IRA by the reporting person's spouse.
- (2) Stock Options awarded pursuant to the FS Bancorp, Inc. 2013 Equity Incentive Plan. Vests in equal installments of 20% per year that began on May 8, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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