

Edgar Filing: HCC INSURANCE HOLDINGS INC/DE/ - Form 144

HCC INSURANCE HOLDINGS INC/DE/

Form 144

October 15, 2001

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SEC 1147 POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION  
(9-0) CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM  
DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES  
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with  
broker to execute sale or executing a sale directly with a market maker.

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1(a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO.

HCC Insurance Holdings, Inc. 76-0336636

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1(d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE

13403 Northwest Freeway Houston Texas 77040

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2(a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD (b) IRS IDENT. NOT. (c) RELATIONSHIP TO ISSUER (d) AD

Stephen J. Lockwood Director 27 Con  
MA 019

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INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Ident  
File Number.

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3(a) (b) SEC USE (c) (d) (e)  
Title Of The Class Of Securities To Be Sold Name And Address Of Each Broker Through Whom  
The Securities Are To Be Offered Or Each Market Maker Who Is Acquiring The Securities  
ONLY Number Of Shares Or Other Units To Be Sold (See Instr. 3(c)) Aggregate Market Value  
(See Instr. 3(d)) (See Instr. 3(d))  
Broker-Dealer File Number (See Instr. 3(c))  
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Common Stock	Raymond James & Associates 880 Carillon Parkway St. Petersburg, FL 33716	250,000	\$7,000,000 (Note 1)	59 (
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## INSTRUCTIONS:

- Name of issuer
  - Issuer's I.R.S. Identification Number
  - Issuer's S.E.C. file number, if any
  - Issuer's address, including zip code
  - Issuer's telephone number, including area code
- Name of person for whose account the securities are to be sold
  - Such person's I.R.S. identification number, if such person is an entity
  - Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - Such person's address, including zip code
- Title of the class of securities to be sold
  - Name and address of each broker through whom the securities are intended to be sold
  - Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - Approximate date on which the securities are to be sold
  - Name of each securities exchange, if any, on which the securities are intended to be sold

## TABLE I--SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title O f The Class	Date you Acquired	Nature of Acquisition Transaction	Name Of Person From Whom Acquired (If gift, also give date donor acquired)	Amount Of Securities Acquired
Common Stock	05/24/96	Acquisition of LDG HHC Management Company, Inc.	HCC Insurance Holdings, Inc.	3,941,565

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INSTRUCTIONS:

1. If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.
2. If within two years after the acquisition of the securities the person for whose account they are to be sold had any short positions, put or other option to dispose of securities referred to in paragraph (d) (3) of Rule 144, furnish full information with respect thereto.

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TABLE II--SECURITIES SOLD DURING THE PAST 3 MONTHS  
Furnish the following information as to all securities of the issuer  
sold during the past three months by the person for whose  
account the securities are to be sold.

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Name and Address of Seller	Title of Securities Sold	Date of Sale	A Se
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N/A

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REMARKS: Note 1: Based upon NYSE Closing Price on October 11, 2001 of \$28.00.  
Note 2: Shares outstanding as of August 6, 2001.

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

October 12, 2001

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Date of Notice

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed.

/s/ Stephen L. Lockwood

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(Signature)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

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ATTENTION: INTERNATIONAL MISSTATEMENTS OR OMISSION OF FACTS CONSTITUTE FEDERAL  
CRIMINAL VIOLATIONS (SEE 18 U.S.C. 1001).  
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