

FLEETBOSTON FINANCIAL CORP

Form 8-K

August 14, 2002

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SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 14, 2002

**FLEETBOSTON FINANCIAL CORPORATION**

(Exact name of registrant as specified in its charter)

Rhode Island  
(State or other jurisdiction  
of incorporation)

1-6366  
(Commission  
File Number)

05-0341324  
(IRS Employer  
Identification No.)

100 Federal Street, Boston, Massachusetts  
(Address of principal executive offices)

02110  
(Zip Code)

Registrant's telephone number, including area code: (617) 434-2200

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Item 7. Financial Statements and Exhibits.

Item 9. Regulation FD Disclosure.

**SIGNATURES**

Ex-99.(a) Sect.906 Certification, Charles Gifford

Ex-99.(b) Sect.906 Certification, Eugene McQuade

Ex-99.(c) Sworn statement, Charles Gifford

Ex-99.(d) Sworn statement, Eugene McQuade

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Item 7. Financial Statements and Exhibits.

(c) Exhibits.

- 99(a) Certification of Charles K. Gifford, President and Chief Executive Officer, pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (18 U.S.C. § 1350)
- 99(b) Certification of Eugene M. McQuade, Vice Chairman and Chief Financial Officer, pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (18 U.S.C. § 1350)
- 99(c) Sworn written statement of Charles K. Gifford, President and Chief Executive Officer, pursuant to Securities and Exchange Commission Order No. 4-460.
- 99(d) Sworn written statement of Eugene M. McQuade, Vice Chairman and Chief Financial Officer, pursuant to Securities and Exchange Commission Order No. 4-460.

Item 9. Regulation FD Disclosure.

On August 14, 2002, FleetBoston Financial Corporation (the Corporation ) filed with the Securities and Exchange Commission (the Commission ) its Quarterly Report on Form 10-Q for the quarter ended June 30, 2002 (the Form 10-Q ), accompanied by the certifications of Charles K. Gifford, President and Chief Executive Officer, and Eugene M. McQuade, Vice Chairman and Chief Financial Officer, required pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (18 U.S.C. § 1350). Copies of these certifications are attached hereto as Exhibits 99(a) and 99(b).

On August 14, 2002, following the filing of the Form 10-Q, Messrs. Gifford and McQuade submitted to the Commission their sworn written statements pursuant to the Commission's June 27 Order Requiring the Filing of Sworn Written Statements Pursuant to Section 21(a) of the Securities Exchange Act of 1934 (File No. 4-460). Copies of these statements are attached hereto as Exhibits 99(c) and 99(d).

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Corporation has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FLEETBOSTON FINANCIAL CORPORATION

By /s/ GARY A. SPIESS

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Gary A. Spiess  
Executive Vice President,  
General Counsel and Secretary

Dated: August 14, 2002