**CARNIVAL PLC** Form 4

October 13, 2009

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

**MIAMI, FL 33131** 

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* ARTSFARE 2006 TRUST NO. 2

(Middle)

C/O SUNTRUST DELAWARE

(First)

TRUST COMPANY, 777 **BRICKELL AVENUE, SUITE 200** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction (Month/Day/Year) 10/08/2009

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director \_X\_\_ 10% Owner Officer (give title \_X\_ Other (specify below) below) See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Secu	ırities Acqui	ired, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Trust Shares (interest in special voting share) (1)	10/08/2009		S	85,714 ( <u>2)</u>	D	\$ 33.3341 (3)	3,895,253	D	
Trust Shares (interest in special voting	10/09/2009		S	85,714 (2)	D	\$ 32.8211 (4)	3,809,539	D	

### Edgar Filing: CARNIVAL PLC - Form 4

share) (1)

Trust Shares

(interest in special 10/12/2009 S 85,714 S (2) D 32.7113 3,723,825 (5)

voting share) (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address			_	
	Director	10% Owner	Officer	Other
ARTSFARE 2006 TRUST NO. 2				
C/O SUNTRUST DELAWARE TRUST COMPANY		X		See Remarks
777 BRICKELL AVENUE, SUITE 200	Λ			See Keiliaiks
MIAMI, FL 33131				

# **Signatures**

/s/ William Jones, Vice President of SunTrust Delaware Trust Company, as Trustee for Artsfare 2006 Trust No. 2

10/13/2009

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Instr

\*\*Signature of Reporting Person Date

Relationships

Reporting Owners 2

Edgar Filing: CARNIVAL PLC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to
- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.
- This transaction was executed in multiple trades at prices ranging from \$32.9800 to \$33.7500. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$32.6000 to \$33.2500. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- This transaction was executed in multiple trades at prices ranging from \$32.3900 to \$33.1400. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

#### **Remarks:**

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admiss that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.