Houghton Mifflin Harcourt Co Form 4 July 29, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Colangelo Brook Mickey			2. Issuer Name <b>and</b> Ticker or Trading Symbol Houghton Mifflin Harcourt Co [HMHC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last) 222 BERKEL	(First) EY STREE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/28/2014	Director 10% OwnerX_ Officer (give title Other (specify below) See remarks.
BOSTON, MA	(Street) A 02116		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned

(City)	(State) (	Table Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/28/2014		M	1,668	A	\$ 12.5	1,668	D	
Common Stock	07/28/2014		S <u>(1)</u>	1,668	D	\$ 17.5	0	D	
Common Stock	07/28/2014		M	2,498	A	\$ 13.48	2,498	D	
Common Stock	07/28/2014		S <u>(1)</u>	2,498	D	\$ 17.5	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 12.5	07/28/2014		M	1,668	(2)	01/07/2020	Common Stock	1,668
Employee Stock Option (Right to Buy)	\$ 13.48	07/28/2014		M	2,498	(3)	07/25/2020	Common Stock	2,498

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r	Director	10% Owner	Officer	Other			
Colangelo Brook Mickey			C 1				
222 BERKELEY STREET BOSTON, MA 02116			See remarks.				

# **Signatures**

/s/ William F. Bayers, 07/29/2014 Attorney-in-Fact

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.
- 25% of this grant of employee stock options has vested and is currently exercisable. The remaining stock options vest in three equal(2) installments on January 7, 2015, January 7, 2016 and January 7, 2017, subject to continued employment with Houghton Mifflin Harcourt Company (the "Company").
- (3) 25% of this grant of employee stock options has vested and is currently exercisable. The remaining stock options vest in three equal installments on July 25, 2015, July 25, 2016 and July 25, 2017, subject to continued employment with the Company.

#### **Remarks:**

Senior Vice President and Chief Information Officer. William F. Bayers is the Executive Vice President, Secretary and General

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.