Edgar Filing: BERNDT RICHARD O - Form 4

ICHARD O										
2004										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL			
Washington, D.C. 20549							OMB Number:	3235-0287		
Section 16. SECURITIES Form 4 or								•		
tinue. Section 17 ruction	(a) of the I	Public U	tility Hold	ding Cor	npan	y Act of	f 1935 or Section	1		
Responses)										
BERNDT RICHARD O Symbol MUNIC			ol NICIPAL MORTGAGE &				5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
``	(Middle) Γ PRATT	(Month/E	ay/Year)	ransaction			X Director Officer (give below)		Owner r (specify	
				-	l		Applicable Line)			
RE, MD 21202										
(State)	(Zip)	Tabl	e I - Non-E	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
e of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if . 3) any (Month/Day/Year)		n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
10/21/2004			Code V A	Amount 38.7	or (D) A	Price \$ 25.84	(Instr. 3 and 4) 19,738.5329	D		
	2004 A 4 UNITED is box ger o STATE 16. or Filed pu Section 17 Section 17 Section 17 (First) MAE, 621 EAS SUITE 300 (Street) RE, MD 21202 (State) 2. Transaction Da (Month/Day/Year	2004 A A UNITED STATES is box ger o STATEMENT OI 16. or Filed pursuant to S Section 17(a) of the I 30(h) Responses) Address of Reporting Person * Address of Reporting Person * (First) (Middle) Address of Reporting Person * Address of Reporting Person * Addr	2004 N 4 UNITED STATES SECUE ais box STATEMENT OF CHAN ais box STATEMENT OF CHAN ais box Filed pursuant to Section 1 bitinue. Section 17(a) of the Public Us aldress of Reporting Person * 2. Issue Responses) Symbol Address of Reporting Person * 2. Issue Responses) Symbol Address of Reporting Person * 2. Issue Responses) Symbol Address of Reporting Person * 2. Issue (First) (Middle) 3. Date of (Month/E MAE, 621 EAST PRATT 10/21/2 SUITE 300 (Street) 4. If Ame (Street) (Zip) Table 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date, if	2004 A 4 UNITED STATES SECURITIES A Washington, is box ger o STATEMENT OF CHANGES IN 16. SECUR Filed pursuant to Section 16(a) of the Section 17(a) of the Public Utility Hold 30(h) of the Investment Responses) Address of Reporting Person ¹ . Address of Reporting Person ² . Address	2004 A 4 UNITED STATES SECURITIES AND EX Washington, D.C. 20 Mashington, D.C. 20 STATEMENT OF CHANGES IN BENEF SECURITIES Tiled pursuant to Section 16(a) of the Securit section 17(a) of the Public Utility Holding Cor 30(h) of the Investment Compar Responses) Address of Reporting Person [*] Address of Repor	2004 A 4 UNITED STATES SECURITIES AND EXCHA Washington, D.C. 20549 is box ger o STATEMENT OF CHANGES IN BENEFICIA 16. STATEMENT OF CHANGES IN BENEFICIA SECURITIES Tiled pursuant to Section 16(a) of the Securities E Section 17(a) of the Public Utility Holding Company and the Investment Company Ad Responses) Address of Reporting Person [*] Address of Reporting Person	2004 A 4 UNITED STATES SECURITIES AND EXCHANGE O Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWN Securities Exchang ons Filed pursuant to Section 16(a) of the Securities Exchang ons Section 17(a) of the Public Utility Holding Company Act of 194 Responses) Address of Reporting Person ¹ 2. Issuer Name and Ticker or Trading Symbol MUNICIPAL MORTGAGE & EQUITY LLC [MMA] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) MAE, 621 EAST PRATT 10/21/2004 SUITE 300 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) RE, MD 21202 (State) (Zip) Table I - Non-Derivative Securities Acquired (Month/Day/Year) (Instr. 8) (And or Code V Amount (D) Price	2004 A 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 is box ger STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 16. SECURITIES or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ms Section 17(a) of the Public Utility Holding Company Act of 1935 or Section uction 30(h) of the Investment Company Act of 1940 Responses) Address of Reporting Person 1 RICHARD O Symbol MUNICIPAL MORTGAGE & EQUITY LLC [MMA] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) MAE, 621 EAST PRATT 10/21/2004 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) RE, MD 21202 (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) 4. Securities Acquired (A) or Code V Amount (D) Price (Instr. 3) and 4)	2004 A 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Tilled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1930 Responses) Address of Reporting Person ¹ , Responses) Address of Reporting Person ² , Responses, Address of Reporting Person ² , Responses, Address of Reporting Person ² , Response, Respons	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	· · ·	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: BERNDT RICHARD O - Form 4

Reporting Owners

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other BERNDT RICHARD O C/O MUNIMAE Х 621 EAST PRATT STREET, SUITE 300 BALTIMORE, MD 21202 Signatures Janet E. 10/25/2004 McHugh **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Janet E. McHugh is signing as Attorney in Fact.
- (2) Shares awarded in accordance with the 2004 Non-Employee Directors' Share Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.