STEINMETZ MICHAEL

Form 4

September 20, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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0.5

OMB APPROVAL

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Instr. 3)

Common

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Clarus Lifesciences I, L.P.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

ZOGENIX, INC. [ZGNX]

(Month/Day/Year)

(Middle)

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

09/16/2011

Director Officer (give title X 10% Owner Other (specify

C/O CLARUS VENTURES. LLC, 101 MAIN STREET, SUITE 1210

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

CAMBRIDGE, MA 02142

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

4. Securities Acquired (A) 5. Amount of 3. Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Owned

6. Ownership Beneficially Form: Direct (D) or Indirect Following

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or

Reported Transaction(s)

(Instr. 4)

(Instr. 3 and 4) Price

By Fund

09/16/2011 Stock

Code V Amount P 3,500,000

\$ 2 A 9,482,439

(D)

Ι (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio		6. Date Exer Expiration D	ate	7. Tit Amou	unt of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr	. 3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
					i, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Clarus Lifesciences I, L.P. C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
Clarus Ventures I Management, L.P. C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
Clarus Ventures I, LLC C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
LIPTAK ROBERT C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
Simon Nicholas C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
HENNER DENNIS C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142		X				
GALAKATOS NICHOLAS C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210		X				

Reporting Owners 2

CAMBRIDGE, MA 02142

WHEELER KURT

C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 X X

CAMBRIDGE, MA 02142

STEINMETZ MICHAEL

C/O CLARUS VENTURES, LLC

101 MAIN STREET, SUITE 1210

CAMBRIDGE, MA 02142

Signatures

Management, L.P., general partner of Clarus Lifesciences I, L.P.	***************************************
**Signature of Reporting Person	Date
/s/ Robert Liptak, Manager of Clarus Ventures I, LLC, general partner of Clarus Ventures I Management, L.P.	09/20/2011
**Signature of Reporting Person	Date

X

/s/ Robert Liptak, Manager of Clarus Ventures I, LLC, general partner of Clarus Ventures I

/s/ Robert Liptak, Manager of Clarus Ventures I, LLC 09/20/2011

**Signature of Reporting Person Date

09/20/2011

Date

/s/ Robert Liptak, as attorney-in-fact for Nicholas Simon 09/20/2011

**Signature of Reporting Person Date

/s/ Robert Liptak 09/20/2011

**Signature of Reporting Person Date

/s/ Robert Liptak, as attorney-in-fact for Dennis Henner 09/20/2011

**Signature of Reporting Person Date

/s/ Robert Liptak, as attorney-in-fact for Nicholas Galakatos 09/20/2011

**Signature of Reporting Person

/s/ Robert Liptak, as attorney-in-fact for Kurt Wheeler 09/20/2011

**Signature of Reporting Person Date

/s/ Robert Liptak, as attorney-in-fact for Michael Steinmetz 09/20/2011

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Securities held of record by Clarus Lifesciences I, L.P. (the "Fund"). Clarus Ventures I Management, L.P. (the "GPLP"), as the sole general partner of the Fund, may be deemed to beneficially own certain of the shares held of record by the Fund. The GPLP disclaims beneficial ownership of all shares held of record by the Fund in which the GPLP does not have an actual pecuniary interest. Clarus

Ventures I, LLC (the "GPLLC"), as the sole general partner of the GPLP, may be deemed to beneficially own certain of the shares held of record by the Fund. Each of Messrs. Galakatos, Henner, Liptak, Simon, Steinmetz and Wheeler, as individual Managing Directors of the GPLLC, may be deemed to beneficially own certain of the shares held of record by the Fund. Each of the GPLLC and Messrs. Galakatos, Henner, Liptak, Simon, Steinmetz and Wheeler disclaims beneficial ownership of all shares held of record by the Fund in which he does not have an actual pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Signatures 3

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