

LUMINEX CORP  
Form 4  
January 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

12212 TECHNOLOGY BOULEVARD

(Street)

AUSTIN, TX 78727

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
LUMINEX CORP [LMNX]

3. Date of Earliest Transaction (Month/Day/Year)  
12/30/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |         |   |                            |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|------------|---------|---|----------------------------|
|                                 |                                      |  |                                | Code  | V   | Amount   | (A) or (D)  | Price      |         |   |                            |
| Common Stock                    | 12/30/2015                           |  | S <sup>(1)</sup>               |   |   | 9,395  | D   | \$ 21.7314 | 839,188 | D |                            |
| Common Stock                    | 12/31/2015                           |  | S <sup>(1)</sup>               |   |   | 9,549  | D   | \$ 21.5728 | 829,639 | D |                            |
| Common Stock                    |                                      |  |                                |   |   |  |   |            | 813,955 | I | Spouse <sup>(3)</sup>      |
| Common Stock                    |                                      |  |                                |   |   |  |   |            | 96,614  | I | Partnership <sup>(3)</sup> |
|                                 |                                      |  |                                |   |   |  |   |            | 732,552 | I | Trusts <sup>(3)</sup>      |

Common  
Stock

Common  
Stock

45,000

I

Limited  
Liability  
Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

LOEWENBAUM G WALTER II  
12212 TECHNOLOGY BOULEVARD    X  
AUSTIN, TX 78727

## Signatures

/S/ HARRISS T. CURRIE, AS ATTORNEY-IN-FACT FOR G. WALTER  
LOEWENBAUM II

01/04/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 30, 2015.

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(2) The price reported in Column 4 is an exact price. A breakdown is not applicable.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the

(3) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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