

ALLIANT ENERGY CORP
Form U-9C-3
May 25, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended March 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
222 West Washington Avenue
Madison, Wisconsin 53703
608-252-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3
For the Quarter Ended March 31, 2001

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EXHIBIT A

ITEM 1 - ORGANIZATION CHART
(As of March 31, 2001)

| Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**)) | Energy- or Gas-Related Company | Date of Organization | St Orga |
|--|--------------------------------------|-------------------------|------------|
| Alliant Energy Corporation | N/A | N/A | |
| Cargill-Alliant, L.L.C. (**) | Energy | 10/29/97 | Wi |
| Alliant Energy Resources, Inc. | N/A | N/A | |
| Alliant Energy Development, LLC | N/A | N/A | |
| Argo Power LLC (**) (*) | Energy | 6/5/00 | De |
| Henwood Energy Services, Inc. (**) | Energy | 8/9/85 | Cal |
| Energy Performance Services, Inc. (**) | Energy | 12/17/93 | Penn |
| Cogenex Corporation (**) | Energy | 9/26/83 | Mass |
| APS Cogenex LLC (**) | Energy | 9/29/85 | De |
| Energy Capital and Services I, LP (**) | Energy | 5/4/90 | Mass |
| Energy Capital and Services II, LP (**) | Energy | 11/13/90 | Mass |
| Energy Holdings Corporation (**) | Energy | 10/3/94 | De |

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| | | | |
|---|--------|----------|-------|
| EUA Cogenex West Corporation (**) | Energy | 9/26/83 | Mass |
| EUA Cogenex-Canada Inc. (**) | Energy | 10/11/94 | C |
| EUA Cogenex-Canada Energy Services, Inc. (**) | Energy | 12/16/97 | C |
| AGRA Cogenex Joint Venture (**) | Energy | 7/8/97 | C |
| Northeast Energy Management, Inc. (**) | Energy | 1/7/94 | Mass |
| Enermetrix.com, Inc. (**) | Energy | 1/17/95 | De |
| Nth Power Technologies Fund II, L.P. (**) | Energy | 2/25/00 | Cal |
| SmartEnergy, Inc. (**) | Energy | 11/3/00 | De |
| Alliant Energy Integrated Services Company | N/A | N/A | |
| Industrial Energy Applications, Inc. (**) | Energy | 2/2/62 | |
| BFC Gas Company L.L.C. (**) | Energy | 12/7/95 | |
| Energys, Inc. (**) | Energy | 6/25/84 | Wi |
| RMT, Inc. (**) | Energy | 7/29/83 | Wi |
| Residuals Management Technology, Inc., Ohio (**) | Energy | 1/22/97 | |
| RMT North Carolina, Inc. (**) | Energy | 4/6/69 | North |
| RMT, Inc., Michigan (**) | Energy | 12/15/95 | Mi |
| RMT International, Inc. (**) | Energy | 10/9/97 | Wi |
| Lake Engineering Inc. (**) (NEW) | Energy | 9/2/82 | G |
| Heartland Energy Group, Inc. (**) | Energy | 6/1/95 | Wi |
| Industrial Energy Applications Delaware Inc. | N/A | N/A | |
| Oak Hill Pipeline L.P. (**) | Energy | 4/1/97 | |
| Alliant South Texas Pipeline, L.P. (**) | Energy | 4/20/99 | |
| Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC) | Energy | 2/14/00 | De |

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| Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**)) | Energy- or Gas-Related Company | Date of Organization | St Orga |
|--|--------------------------------------|-------------------------|------------|
| Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field | | | |

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| | | | |
|--|--------|---------|----|
| Services, LLC) | Energy | 8/31/00 | |
| Alliant Energy Field Services, LLC | N/A | N/A | |
| Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.) | Energy | 2/14/00 | De |
| Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.) | Energy | 8/31/00 | |
| NG Energy Trading, LLC (**) | Energy | 9/26/00 | Ok |
| Alliant Energy Integrated Services - Energy Solutions LLC (**) (NEW) | Energy | 1/1/01 | |
| Schedin & Associates, Inc. (**) | Energy | 6/22/82 | Mi |
| Alliant Energy Integrated Services - Energy Management LLC (**) (NEW) | Energy | 1/1/01 | |
| Alliant Energy Investments, Inc. | N/A | N/A | |
| Heartland Energy Services, Inc. | N/A | N/A | |
| ReGENco L.L.C. (**) | Energy | 4/19/99 | Wi |
| Alliant Energy Transportation, Inc. | N/A | N/A | |
| Williams Bulk Transfer Inc. (**) | Energy | 4/27/99 | |

N/A - Not applicable

(a) The following abbreviations were used:

| Abbreviation | Nature of Business |
|--------------|---|
| (i) | Energy management services |
| (ii) | Development and commercialization of innovative electrotechnologies |
| (v) - 1 | Brokering and marketing of energy commodities - natural gas |
| (v) - 2 | Brokering and marketing of energy commodities - electricity |
| (v) - 3 | Brokering and marketing of energy commodities - natural gas and electricity |
| (vi) | Production of alternative fuels |
| (vii) - 1 | Environmental licensing, testing, and remediation services |
| (vii) - 2 | Integrated turbine and generator services |
| (ix) - 1 | Fuel transportation facilities services |
| (ix) - 2 | Fuel handling and storage facilities services |

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at March 31, 2001

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| Company Issuing Security | Type of Security Issued | Principal Amount of Security (in thousands) | Whom |
|---|-------------------------|---|-------------------|
| Alliant Energy Desdemona, LP | Partnership capital | \$1,935 | Industrial Energy |
| | Partnership capital | \$2 | Alliant Energy F |
| Alliant Energy Integrated Services - Energy Management LLC | Money pool borrowings | \$12,005 | Alliant Energy R |
| Alliant Energy Integrated Services - Energy Solutions LLC | Money pool borrowings | \$1,200 | Alliant Energy R |
| Alliant South Texas Pipeline, L.P. | Partnership capital | \$6,346 | Industrial Energy |
| Bastian Bay Pipeline, LP | Partnership capital | \$415 | Industrial Energy |
| BFC Gas Company L.L.C. | Capital | \$2,600 | Industrial Energy |
| Cargill-Alliant, L.L.C. | Capital | \$5,000 | Alliant Energy C |
| Cogenex Corporation (Incl. subsidiaries) | Capital | \$60,000 | Alliant Energy R |
| Energys, Inc. | Capital | \$8,685 | Industrial Energy |
| | Money pool borrowings | \$707 | Alliant Energy R |
| Energy Performance Services, Inc. | Capital | \$12,494 | Alliant Energy R |
| Enermetrix.com, Inc. | Preferred stock | \$10,040 | Alliant Energy R |
| Heartland Energy Group, Inc. | Common stock | \$16,100 | Alliant Energy I |
| | Money pool borrowings | \$2,961 | Alliant Energy R |
| Henwood Energy Services, Inc. | Common stock | \$1,342 | Alliant Energy R |
| Industrial Energy Applications, Inc. | Common stock | \$9,971 | Alliant Energy I |
| | Money pool borrowings | \$38,523 | Alliant Energy R |
| Lake Engineering Inc. | Capital | \$2,400 | RMT, Inc.(1) |
| NG Energy Trading, LLC | Capital | \$2,250 | Heartland Energy |
| Nth Power Technologies Fund II, L.P. | Partnership capital | \$3,500 | Alliant Energy R |
| Oak Hill Pipeline L.P. | Partnership capital | \$4,408 | Industrial Energy |
| ReGENco L.L.C. | Class A Units | \$1,083 | Heartland Energy |
| | Class B Units | \$667 | Heartland Energy |
| RMT, Inc. (Incl. all subsidiaries except Lake Engineering Inc.) | Common stock | \$11,822 | Alliant Energy I |
| Schedin & Associates, Inc. | Money pool borrowings | \$965 | Alliant Energy R |
| SmartEnergy, Inc. | Preferred stock | \$6,500 | Alliant Energy R |
| Williams Bulk Transfer Inc. | Common stock | \$1 | Alliant Energy T |
| | Money pool borrowings | \$5,131 | Alliant Energy R |

(1) Associate company.

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ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended March 31, 2001

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

| Reporting Company Rendering Services | Associate Company Receiving Services | Types of Services Rendered | Direct Costs Charged (in thousands) | Indirect Costs Charged (in thousand |
|--------------------------------------|--------------------------------------|----------------------------|-------------------------------------|-------------------------------------|
| IEA | Whiting | Gas marketing | \$8 | \$- |
| RMT | WP&L | Environmental consulting | 21 | - |

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| | | | | |
|----------|-----------------|--------------------------|-----|---|
| RMT | IEA | Environmental consulting | 105 | - |
| RMT | IESU | Environmental consulting | 1 | - |
| RMT | Barge | Environmental consulting | 6 | - |
| RMT | Resources | Environmental consulting | 6 | - |
| RMT | IPC | Environmental consulting | 1 | - |
| Williams | Cargill-Alliant | Coal handling | 193 | - |

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

| Associate Company | Reporting Company | Types of Services Rendered | Direct Costs Charged (in thousands) | Indirect Costs Charged (in thousands) |
|-------------------|-------------------|----------------------------|-------------------------------------|---------------------------------------|
| IESU | Cargill-Alliant | Coal Sales | \$330 | \$- |
| IESU | IEA | Fossil plant operations | 1 | - |
| RMT | IEA | Environmental consulting | 105 | - |
| Williams | Cargill-Alliant | Coal handling | 193 | - |

(a) The following abbreviations were used:

| Abbreviation | Legal Name | Abbreviation | Legal Name |
|-----------------|--------------------------------------|--------------|-----------------------|
| Barge | IEI Barge Services, Inc. | Resources | Alliant Energy |
| Cargill-Alliant | Cargill-Alliant, L.L.C. | RMT | RMT, Inc. |
| IEA | Industrial Energy Applications, Inc. | Whiting | Whiting Petroleum |
| IESU | IES Utilities Inc. | Williams | Williams Bros. Energy |
| IPC | Interstate Power Company | WP&L | Wisconsin Power |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of March 31, 2001 (a)

Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)

Greater of \$50 million or total capitalization multiplied by 15% (Line 2)

Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):

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Energy-related business category i
 Energy-related business category ii
 Energy-related business category iii
 Energy-related business category iv
 Energy-related business category v
 Energy-related business category vi
 Energy-related business category vii
 Energy-related business category viii
 Energy-related business category ix
 Energy-related business category x

Total current aggregate investment

 Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system
 (Line 3 less Line 4)

Investments in gas-related companies:

 Total current aggregate investment (categorized by major line of gas-related business):

Gas-related business category i
 Gas-related business category ii
 Total current aggregate investment _____

 (a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current short-term debt (variable rate demand bonds, commercial paper, notes payable and other short

ITEM 5 - OTHER INVESTMENTS
 (Dollars in thousands)

| Major Line Of Energy-Related Business | Other Investment In Last U-9C-3 Report | Other Investment In This U-9C-3 Report | Reason for Difference In Other Investments |
|---|---|---|---|
| Energy-related business category i* | \$48,475 | \$48,475 | No change. |
| Energy-related business category v* | \$8,289 | \$8,289 | No change. |
| Energy-related business category vi* | \$2,569 | \$2,569 | No change. |
| Energy-related business category vii* | \$11,822 | \$11,822 | No change. |
| Energy-related business category ix* | \$4,705 | \$4,408 | In the first quarter made a distribution Applications Delaw |

 * Item 5 includes aggregate investments that were invested or committed to be

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invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

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ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 25th day of May 2001.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Corporate Controller and Chief Accounting Officer
(Principal Accounting Officer)

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