Edgar Filing: Hilltop Holdings Inc. - Form 4

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2002 Estimated average burden hours per response Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Stimated average purden hours per response (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Hilltop Holdings Inc. [HTH] S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Relationship of Reporting Person(s) to Issuer 200 CRESCENT COURT, SUITE 07/19/2016 -X_ Director below) -Mo other (specify below)	Hilltop Holdings Form 4	Inc.									
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 3235-028 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 200 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 1940 Section 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Hilltop Holdings Inc. [HTH] S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) $-X$	July 21, 2016									PROVAL	
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: 201 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1935 or Section 1(b). 0.1 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Hilltop Holdings Inc. [HTH] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_ Director Officer (give title 10% Owner Difficer (give title 200 CRESCENT COURT, SUITE 1330 07/19/2016 -X_ Director below) -10% Owner Difficer (give title 10% Owner Difficer (give title							OMMISSION	OMB	3235-0287		
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Bolt Tracy Allan 2. Just of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 200 CRESCENT COURT, SUITE 1330 07/19/2016	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction See Instruction See Instruction Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Check this box if no longer subject to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					Estimated a burden hour response	mated average len hours per				
Bolt Tracy Allan Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 200 CRESCENT COURT, SUITE 07/19/2016 \XDirector $\MOOfficer (give titlebelow) \MOOther (specifybelow) $	(Print or Type Respo	onses)									
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X_ Director _10% Owner 200 CRESCENT COURT, SUITE 07/19/2016 Officer (give title below) Other (specify below) 1330 Officer (give title below) Other (specify below)	Bolt Tracy Allan Symbol			-				Issuer			
200 CRESCENT COURT, SUITE 07/19/2016 1330 Officer (give title below)	(Last)	(First) (Middle)					(Check	k all applicable)		
(Street) 4 If Amendment Date Original 6 Individual or Joint/Group Filing(Check	200 CRESCENT COURT, SUITE 07/19/20			-				Officer (give title Other (specify			
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person		(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			X_Form filed by One Reporting Person				
DALLAS, TX 75201 Form filed by More than One Reporting Person	DALLAS, TX 7	75201						•	lore than One Re	porting	
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City)	(State) (Zip)	Table I	I - Non-De	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)5. Amount of Securities6. Ownership Form: Direct7. Nature of Indirect0. or (Month/Day/Year)Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of (D)5. Amount of Securities6. Ownership Form: Direct7. Nature of Form: Direct0. or (A) (A)Month/Day/Year)(Instr. 8)0wned Following (Instr. 4)Indirect (I) (Instr. 4)Ownership Following (Instr. 4)	Security (Mo	onth/Day/Year) Exec any	ution Date, if T	n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) (A)		Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership			
Common Stock 07/19/2016 A $672 \frac{(1)}{2}$ A 20.81 $14,365$ D	U / /	/19/2016	(\$ 20.81		D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	Amor Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
Troporting o who	reporting o whet Funct / Function		10% Owner	Officer	Other			
Bolt Tracy Allan 200 CRESCENT CO DALLAS, TX 75201	URT, SUITE 1330	Х						
Signatures								
/s/ Tracy Allan Bolt	07/21/2016							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to the Hilltop Holdings Inc. 2012 Equity Incentive Plan as compensation for services rendered as a director in the second calendar quarter of 2016.
- (2) Price per share calculated using the average closing price per share for the period from June 17, 2016 to June 30, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.