**SNAP-ON Inc** Form 4 July 25, 2013

### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

if no longer subject to Section 16.

Check this box

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* MORENO JEANNE M

SNAP-ON Inc [SNA]

(First) (Middle) (Last)

3. Date of Earliest Transaction

(Month/Day/Year) 07/23/2013

SNAP-ON INCORPORATED, 2801 80TH STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

KENOSHA, WI 53143

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify

VP - Chief Information Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

Code (Instr. 8)

3.

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

(A)

or

13,859.069 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	urities uired or oosed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Deferred Stock Units	<u>(2)</u>	07/23/2013		I		1,000	<u>(3)</u>	<u>(3)</u>	Common Stock	1,0
Stock Option (Right to Buy)	\$ 58.94						02/09/2012(5)	02/09/2021	Common Stock	4,5
Stock Option (Right to Buy)	\$ 60						02/08/2013(5)	02/08/2022	Common Stock	9,0
Stock Option (Right to Buy)	\$ 79.04						02/13/2014(5)	02/13/2023	Common Stock	13,
Restricted Stock Units	<u>(2)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	5,1
Restricted Stock Units	<u>(2)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	2,8
Restricted Stock Units	<u>(2)</u>						(8)	(8)	Common Stock	2,1
Performance Units	<u>(2)</u>						<u>(9)</u>	<u>(9)</u>	Common Stock	3,2
Performance Units	<u>(2)</u>						(10)	<u>(10)</u>	Common Stock	3,2
Performance Units	(2)						<u>(11)</u>	<u>(11)</u>	Common Stock	2,1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
MORENO JEANNE M						
SNAP-ON INCORPORATED			VD Chief Information Officer			
2801 80TH STREET			VP - Chief Information Officer			
KENOSHA, WI 53143						

Reporting Owners 2

### **Signatures**

/s/ Ryan S. Lovitz under Power of Attorney for Jeanne M. Moreno

07/24/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 158.069 shares acquired under a dividend reinvestment plan.
- (2) 1 for 1
- (3) Payment will begin within 30 days first beginning after the date specified in advance of the deferral by the reporting person, death, disability or termination of employment.
- (4) This information is based on the plan balance as of the date of the transaction.
- (5) Original option grant vests in three annual installments beginning on the date listed in the "Date Exercisable" column.
- (6) The restricted stock units were earned based on company performance during fiscal 2011. Assuming continued employment through the end of fiscal 2013, the units will then vest in one installment and the shares will be issued shortly thereafter.
- (7) The restricted stock units were earned based on company performance during fiscal 2012. Assuming continued employment through the end of fiscal 2014, the units will then vest in one installment and the shares will be issued shortly thereafter.
- The restricted stock units may be earned based on the achievement of certain company goals during fiscal 2013. Assuming continued employment through the end of fiscal 2015, any units earned will then vest in one installment and the shares will be issued shortly thereafter. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported.
- (9) If the company achieves certain goals over the 2011-2013 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported.
- (10) If the company achieves certain goals over the 2012-2014 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported.
- (11) If the company achieves certain goals over the 2013-2015 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3