Smith Michael Form 5 January 30, 2018

### FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP OF SECURITIES

Number: Expires:

**OMB** 

3235-0362 January 31,

2005

1.0

Estimated average

burden hours per response...

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

| 1. Name and Address of Reporting Person * Smith Michael |          |          | 2. Issuer Name and Ticker or Trading<br>Symbol<br>PUBLIX SUPER MARKETS INC<br>[NONE] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |  |  |  |
|---|----------|----------|--|--|--|--|--|
| (Last) P.O. BOX 40                                      | (First)  | (Middle) | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2017              | Director 10% Owner X Officer (give title Other (specify below)           |  |  |  |
|   | (Street) |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                                 | 6. Individual or Joint/Group Reporting  (check applicable line)          |  |  |  |
| LAKELAND  | FL 33    | 802      |  | _X_ Form Filed by One Reporting Person                                   |  |  |  |

(State)

(Zip)

(City)

Form Filed by More than One Reporting Person

| (,)                                  | ()                                   | r/ labi   | e 1 - Non-Deri                          | ivative Sec                                      | curitie   | es Acqu | nrea, Disposea oi,   | or Beneficiali  | y Ownea   |
|--------------------------------------|--------------------------------------|---|---|--|-----------|---------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>Acquired<br>Disposed<br>(Instr. 3, | (A) of (D | )       | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end of<br>Issuer's Fiscal<br>Year<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | Â                                    | Â   | Â                                       | Â  | Â         | Â       | 17,770.7074  | D   | Â   |
| Common<br>Stock                      | Â                                    | Â   | Â                                       | Â  | Â         | Â       | 1,457.2257   | I   | By 401(k)   |
| Common<br>Stock                      | Â                                    | Â   | Â                                       | Â  | Â         | Â       | 45,565.9177  | I   | By ESOP   |
|                                      |                                      |   |   |  |           |         |  |   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table I Non Derivative Securities Acquired Disposed of ar Repeticially Owned

**SEC 2270** (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | Derivative |     | erivative Expiration Date (Month/Day/Year) equired (A) or isposed of (D) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|---|---|--------------------------------------|---|---|------------|-----|--|--------------------|---|----------------------------------|
|   |   |                                      |   |   | (A)        | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount of<br>Number of<br>Shares |
| SERP<br>Stock                                       | Â   | 03/01/2017                           | Â   | A                                       | 529.0498   | Â   | (3)  | (3)                | Common<br>Stock   | 529.049                          |

### **Reporting Owners**

| Reporting Owner Name / Address                      | Relationships      |   |                       |       |  |  |  |  |
|---|--------------------|---|-----------------------|-------|--|--|--|--|
|   | Director 10% Owner |   | Officer               | Other |  |  |  |  |
| Smith Michael<br>P.O. BOX 407<br>LAKELAND, FL 33802 | Â                  | Â | Senior Vice President | Â     |  |  |  |  |

# **Signatures**

/s/ Monica Allman, POA on file for Michael 01/30/2018 Smith

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).
- Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental

Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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