## Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUSTINC Form 4 August 07, 2007 FORM 4 The Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Ut											
1(b). (Print or Type Responses)											
1. Name and Address of Reporting Person <u>*</u> BROCK J DON							5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (1	Middle) 3	3. Date of Earliest Transaction				(Check all applicable)				
4101 JEROME AVE			(Month/Day/Year) 08/03/2007				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO				
			Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHATTANOOGA, TN 37407 — Form filed by More than One Reporting Person											
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day,	ate, if Transacti Code /Year) (Instr. 8)	3.4. Securities Acquired (A)Transactionor Disposed of (D)Code(Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock					(2)	1.00	251,202	I	By Mother's estate		
Common Stock	08/03/2007		S	2,447	D	\$ 52.5638	2,298,347	D			
Common Stock	08/06/2007		S	8,500	D	\$ 50.87	2,289,847	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	<u>(1)</u>					(1)	(1)	Common Stock	118,686	
Phantom Stock	<u>(2)</u>					(2)	(2)	Common Stock	29,427.9163	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BROCK J DON 4101 JEROME AVE CHATTANOOGA, TN 37407	Х	Х	President & CEO					
Signatures								

Robert Taylor, attorney in fact for J. Don 08/07/2007 Brock

\*\*Signature of Reporting Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option prices and dates have been previously reported for stock options currently outstanding.

(2) Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date