Kauffman Scott L Form 4 March 07, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Kauffman Scott L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

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Number:

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MDC PARTNERS INC [MDCA]

(Check all applicable)

C/O MDC PARTNERS INC., 745

(Street)

(State)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

03/07/2013

X\_ Director 10% Owner Other (specify Officer (give title below)

FIFTH AVENUE, 19TH FLOOR

(Middle)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10151

| (City)                                  | (State) (Z                           | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |                                     |  |                                      |                                       |  |  |  |  |
|---|--------------------------------------|--|-----------------|-------------------------------------|--|--------------------------------------|---------------------------------------|--|--|--|--|
| 1.Title of<br>Security                  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if  |                 | 4. Securities onAcquired (A) or     | 5. Amount of Securities                  | Form: Direct                         | Indirect                              |  |  |  |  |
| (Instr. 3)                              |                                      | any<br>(Month/Day/Year)  | Code (Instr. 8) | Disposed of (D) (Instr. 3, 4 and 5) | Beneficially<br>Owned<br>Following       | (D) or<br>Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |  |
|   |                                      |  | Code V          | (A)<br>or<br>Amount (D) Price       | Reported Transaction(s) (Instr. 3 and 4) | (IIISU. 4)                           | (msu. 4)                              |  |  |  |  |
| Class A<br>Shares                       |                                      |  |                 |                                     | 35,734 <u>(1)</u>                        | D                                    |                                       |  |  |  |  |
| Restricted<br>Stock (Class<br>A Shares) | 03/07/2013                           |  | A               | 6,570 A \$0                         | 42,304 (1) (2)                           | D                                    |                                       |  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, | (Month/Day/Year) vative rities aired or osed O) r. 3, |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---|--|--|---|--------------------|---|-------------------------------------|--|
|   |   |   |   | Code V                                 | 4, and 5) (A) (D)  | Date<br>Exercisable                                   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |  |
| Stock<br>Options                                    | \$ 8.95   |   |   |  |  | 04/28/2006  | 04/28/2016         | Class<br>A<br>Shares  | 25,000                              |  |
| SARs (4)  | \$ 3.72   |   |   |  |  | 03/10/2010  | 03/09/2014         | Class<br>A<br>Shares  | 10,000                              |  |

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kauffman Scott L C/O MDC PARTNERS INC. 745 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10151

X

#### **Signatures**

/s/ Scott L. Kauffman 03/07/2013

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of unvested Restricted Stock (Class A Shares) of the Issuer.
- (2) These 6,570 shares of Restricted Stock (Class A Shares) of the Issuer, granted on March 7, 2013, will vest on the third anniversary of the grant date, contingent upon certain events and the Reporting Person's continued service as a director through the vesting date.
- Stock Options (previously granted on April 28, 2006) vest over five (5) years from the grant date: 20% (5,000 options) on the first

  (3) anniversary of the grant date, an additional 20% on each of the next four (4) succeeding anniversaries of the grant date, and expire on the tenth anniversary of the grant date.

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(4) The Stock Appreciation Rights ("SARs") granted on March 10, 2009, all of which are vested as of January 20, 2012, expire five (5) years from the Grant Date.

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