HAWTHORN BANCSHARES, INC.

Form 4

Common

Stock

November 01, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287	
Check the if no long	ner .								Expires:	January 31, 2005	
subject to Section 1 Form 4 o	6. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES								verage rs per 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
1. Name and ABRUEGEN	2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
	HAWTHORN BANCSHARES, INC. [HWBK]					(Check all applicable)					
(Last)	3. Date of Earliest Transaction (Month/Day/Year)					Director 10% OwnerX_ Officer (give title Other (specify					
	THORN BANCSH AST HIGH STRI	10/30/20					below) below) Senior Vice President				
	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)					
JEFFERSON CITY, MO 65102 Form filed by Market Person							One Reporting Person Iore than One Reporting				
(City)	(State)	(Zip)	Table	e I - Non-E	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/E	n Date, if	Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	10/30/2013	10/30/2	012	P	600	A	\$ 13.75	7,392 (1)	D		
Common Stock								28,829 (2)	I	Profit Sharing Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

IRA

Ι

2,908

Edgar Filing: HAWTHORN BANCSHARES, INC. - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					.,					
									Amount	
						Date Exercisable	Expiration Date	Title	or	
									Number	
						Exercisable			of	
				Code V	(A) (D)				Shares	

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

BRUEGENHEMKE KATHLEEN L C/O HAWTHORN BANCSHARES, INC. 132 EAST HIGH STREET BOX 688 JEFFERSON CITY, MO 65102

Senior Vice President

Signatures

Kathleen L.

Bruegenhemke 10/30/2013 **Signature of Reporting Date

Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired since last report pursuant to Company DRIP program.
- (2) Includes shares acquired since last report pursuant to Company profit sharing trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2