Johnson James Form 4 September 01, 2010

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Johnson James		2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction	(Check all applicable)			
		(Month/Day/Year)	X Director 10% Owner			
35 EAST WACKER DRIVE, SUITE 2400		08/31/2010	Officer (give title Other (specify below)			
(	(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
CHICAGO, IL 6	50601	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative :	Securi	ities Ac	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/31/2010		Code V S	Amount 74 (1)	(D)	Price \$ 9	1,774	D	
Common Stock	08/31/2010		S	26,381 (1)	D	\$9	915,965	I	By Apex Investment Fund IV, L.P (2)
Common Stock	08/31/2010		S	16,807 (1)	D	\$9	583,552	I	By Apex Investment Fund V, L.P
Common	08/31/2010		S	781 <u>(1)</u>	D	\$9	27,116	I	By Apex

Strategic Partners IV, L.P (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. orNumber	6. Date Exer Expiration D		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	` ,		Securities	(Instr. 5)	Bene
	Derivative		( · · · · · · · · · · · · · · · · · · ·	(,	Securities			(Instr. 3 and	` /	Owne
	Security				Acquired					Follo
	Ž				(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					·
					4, and 5)					
								Amo	int	
								or	uiit	
						Date	Expiration	Title Numl	her	
						Exercisable	Date	of		
				Code V	(A) (D)			Share	es	

#### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Johnson James

Stock

35 EAST WACKER DRIVE, SUITE 2400 X

CHICAGO, IL 60601

#### **Signatures**

/s/ Shelly O'Brien, by power of attorney for James Johnson

09/01/2010

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 31, 2010, issuer closed the underwriters' overallotment option to purchase up to 15% more shares from the issuer and its (1) selling stockholders pursuant the issuer's initial public offering ("IPO"). Shares were sold ratably based on shares sold in the IPO, at the same terms as the IPO.

**(2)** 

Reporting Owners 2

#### Edgar Filing: Johnson James - Form 4

Mr. Johnson is a Managing Member of Apex Management IV, LLC, which is the sole general partner of Apex Investment Fund IV, L.P. and the Manager of Apex Strategic Partners IV, LLC. Mr. Johnson is also a Member of Apex Management V, LLC, the sole general partner of Apex Investment Fund V, L.P. Mr. Johnson shares voting and dispositive power over the securities held by these funds. Mr. Johnson disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.