KROGER CO Form 4 July 16, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

2005 Estimated average

0.5

burden hours per response...

Expires:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BARCLAY KATHLEEN S |          |          | Issuer Name and Ticker or Trading     Symbol     KROGER CO [KR] | 5. Relationship of Reporting Person(s) to Issuer                           |  |  |
|--|----------|----------|---|--|--|--|
| (Last) (First)   |          | (Middle) | 3. Date of Earliest Transaction                                 | (Check all applicable)   |  |  |
| (====)   | ()       | ()       | (Month/Day/Year)  | Director 10% Owner   |  |  |
| THE KROGER CO., 1014 VINE STREET                             |          |          | 07/12/2013  | X Officer (give title Other (speci<br>below) below)  Senior Vice President |  |  |
|  | (Street) |          | 4 16 4 1 4 12 4 12 4 12   |  |  |  |
|  | (Street) |          | 4. If Amendment, Date Original                                  | 6. Individual or Joint/Group Filing(Check                                  |  |  |
|  |          |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person                    |  |  |
| CINCINNATI, OH 45202   |          |          |   | Form filed by More than One Reporting Person                               |  |  |
| (City)   | (State)  | (Zin)    |   |  |  |  |

| (City)                               | (State)                                 | (Zip) Tabl  | le I - Non-I  | Derivative (     | Securi | ities Acqu   | iired, Disposed of                                       | f, or Beneficial  | ly Owned |
|--------------------------------------|---|---|---|------------------|--------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |                  |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
| Common<br>Stock                      | 07/12/2013                              |   | Code V F  | Amount 2,192 (1) | (D)    | Price \$ 37.63   | (Instr. 3 and 4)<br>26,992                               | D   |          |
| Common<br>Stock                      | 07/15/2013                              |   | A   | 20,000<br>(2)    | A      | \$ 0   | 46,992   | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: KROGER CO - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amour Underlying Securit (Instr. 3 and 4) |                           |
|---|---|---|---|--|--|--|--------------------|--|---------------------------|
|   |   |   |   | Code V                                 | and 5) (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of Sh |
| Non-Qualified<br>Stock Option                       | \$ 37.76  | 07/15/2013                              |   | A                                      | 33,040   | (3)  | 07/15/2023         | Common<br>Stock  | 33,0                      |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BARCLAY KATHLEEN S THE KROGER CO. 1014 VINE STREET CINCINNATI, OH 45202

Senior Vice President

## **Signatures**

/s/ Kathleen S. Barclay, by Bruce M. Gack, Attorney-in-Fact

07/16/2013

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of tax liability associated with restricted stock.
- (2) Restricted stock awarded pursuant to a long-term incentive plan of The Kroger Co. The restrictions lapse as to 50% one year from the date of the award, and as to 50% two years from the date of the award.
- (3) These options were granted under a long-term incentive plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2