Eaton Corp plc Form 3 November 05, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Eaton Corp plc [ETN] SEMELSBERGER KEN D (Month/Day/Year) 11/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 EATON BOULEVARD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CLEVELAND, OHÂ 44122 (give title below) (specify below) Form filed by More than One See Remarks below. Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â **Ordinary Shares** 69,689 (1) **Ordinary Shares** 4,099.1484 (2) I by trustee of ESP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of Derivative	Derivative Security:	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(3)	(3)	Ordinary Shares	1,668	\$ 0 (4)	D	Â
Restricted Stock Units	(3)	(3)	Ordinary Shares	2,255	\$ 0 (4)	D	Â
Restricted Stock Units	(3)	(3)	Ordinary Shares	3,196	\$ 0 (4)	D	Â
Restricted Stock Units	(3)	(3)	Ordinary Shares	4,025	\$ 0 (4)	D	Â
Stock Option	02/27/2008(5)	02/27/2017	Ordinary Shares	22,400	\$ 40.405	D	Â
Stock Option	02/26/2009(5)	02/26/2018	Ordinary Shares	18,000	\$ 41.565	D	Â

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
110porting ( ) 1100 r ( 1110 r ) 1200 r ( 1110 r )	Director	10% Owner	Officer	Other	
SEMELSBERGER KEN D 1000 EATON BOULEVARD CLEVELAND, OH 44122	Â	Â	See Remarks below.	Â	

### **Signatures**

/s/ Elizabeth K. Riotte, as Attorney-in-Fact

11/05/2013

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain of these ordinary shares represent restricted shares issued under an Eaton Corporation plc stock plan and are subject to risk of forfeiture.
- (2) These ordinary shares are held in the Eaton Savings Plan.
- (3) These restricted stock units vest as follows: 33% of the total shares granted vest on the first and second anniversary of the date of the grant and the remaining 34% of the total shares granted vest on the third anniversary of the date of the grant.
- (4) Each restricted stock unit represents a contingent right to receive one common share of the issuer.
- (5) Thirty-three percent (33%) of these options become exercisable on the first and second anniversaries of the date granted and thirty-four percent (34%) on the third anniversary of the date granted.

Â

#### **Remarks:**

Senior Vice President and Controller of Eaton Corporation, a subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.