AUTOLIV INC Form 3 January 07, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common Stock

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AUTOLIV INC [ALV] Nellis Anthony J (Month/Day/Year) 01/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O AUTOLIV, (Check all applicable) INC., VASAGATAN 11, 7TH **FLOOR** 10% Owner Director (Street) \_X\_\_ Officer \_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) See Remarks \_X\_ Form filed by One Reporting Person STOCKHOLM, V7Â SE-111 Form filed by More than One 20 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: AUTOLIV INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) (1)	02/22/2012	02/22/2021	Common Stock	357	\$ 72.95	D	Â
Employee Stock Option (right to buy) (1)	02/19/2014	02/19/2023	Common Stock	1,209	\$ 67.42	D	Â
Restricted Stock Units (1) (2)	02/22/2014	02/22/2014	Common Stock	119	\$ <u>(2)</u>	D	Â
Restricted Stock Units (1)	02/22/2015	02/22/2015	Common Stock	320	\$ <u>(2)</u>	D	Â
Restricted Stock Units (1)	02/19/2016	02/19/2016	Common Stock	403	\$ <u>(2)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Nellis Anthony J C/O AUTOLIV, INC. VASAGATAN 11, 7TH FLOOR STOCKHOLM, V7 SE-111 20	Â	Â	See Remarks	Â		

## **Signatures**

/s/ Anthony J.
Nellis

\*\*Signature of Reporting Person

O1/07/2014

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units and the Employee Stock Options were granted under the Company's Stock Incentive Plan of 1997, as amended, at no cost to the reporting person.
- (2) The Restricted Stock Units vest in full 3 years from the date of the grant, at which point vested shares will be delivered to the reporting person free of charge.

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#### **Remarks:**

Interim Vice President Legal Affairs, General Counsel and Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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