Aldeyra Therapeutics, Inc.

Form 3 June 26, 2014

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À Tulipano Stephen J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

06/23/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Aldevra Therapeutics, Inc. [ALDX]

> 4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ALDEYRA THERAPEUTICS, INC., 15 NEW ENGLAND EXECUTIVE **PARK** 

(Street)

10% Owner Director \_X\_\_ Officer Other

(Check all applicable)

(give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### BURLINGTON, MAÂ 01803

(State)

1. Title of Security

(Instr. 4)

(City)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

(Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Ownership Conversion or Exercise Form of Price of Derivative

Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Security:

#### Edgar Filing: Aldeyra Therapeutics, Inc. - Form 3

Date Expiration Title Amount or Exercisable Date Number of Shares

or Indirect
(I)
(Instr. 5)

Security

Direct (D)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Tulipano Stephen J

C/O ALDEYRA THERAPEUTICS, INC. 15 NEW ENGLAND EXECUTIVE PARK BURLINGTON, MAÂ 01803

Â Â Chief Financial Officer Â

### **Signatures**

/s/ Stephen 06/26/2014 Tulipano

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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