

Neonode, Inc
Form 4/A
February 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Bystedt Per Ivar Gosta

(Last) (First) (Middle)

C/O NEONODE
INC., STORGATAN 23C

(Street)

STOCKHOLM, V7 114 55

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Neonode, Inc [NEON]

3. Date of Earliest Transaction
(Month/Day/Year)
08/12/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)
08/14/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	08/12/2013		X ⁽¹⁾		320,000 ⁽¹⁾	\$ 1.375 ⁽¹⁾	759,392 ⁽²⁾ D
Common Stock	08/12/2013		S ⁽¹⁾		58,714 ⁽¹⁾	\$ 7.494 ⁽¹⁾	700,678 D
Common Stock	08/12/2013		X ⁽³⁾		67,773 ⁽³⁾	\$ 1.375 ⁽³⁾	768,451 D
Common Stock	08/12/2013		S ⁽³⁾		12,435 ⁽³⁾	\$ 7.494	756,016 D

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(3)

Common Stock	08/12/2013	X ⁽⁴⁾	227,661 ⁽⁴⁾	A	\$ 1.375 ⁽⁴⁾	3,223,960	I	through Iwo Jima sarl, a company owned by Mr. Bystedt
Common Stock	08/12/2013	S ⁽⁴⁾	41,771 ⁽⁴⁾	D	\$ 7.494 ⁽⁴⁾	3,182,189	I	through Iwo Jima sarl, a company owned by Mr. Bystedt

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Warrant	\$ 1.375	08/12/2013		X		320,000		04/15/2011	10/15/2013	Common Stock	320,000
Warrant	\$ 1.375	08/12/2013		X		67,773		10/18/2010	10/18/2013	Common Stock	67,773
Warrant	\$ 1.375	08/12/2013		X		227,661		10/18/2010	10/18/2013	Common Stock	227,661

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bystedt Per Ivar Gosta C/O NEONODE INC. STORGATAN 23C STOCKHOLM, V7 114 55		X		

Signatures

Lars Lindqvist,
Attorney-in-Fact

02/16/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Bystedt exercised a warrant to purchase 320,000 shares of Neonode common stock (reflecting the 25-for-1 reverse stock split on March 25, 2011) and utilized a net exercise provision of the warrant. As a result, Mr. Bystedt was issued a net of 261,286 shares. The \$7.494 net exercise disposition value reflects the average price of Neonode common stock for the five days prior to the exercise.
- (2) Reflects a correction in the amount of securities beneficially owned as reported in the Form 4 amendment filed by Mr. Bystedt on September 7, 2012. That previous Form 4 amendment indicated a direct beneficial ownership of 429,392; however, the effect of the purchase of 20,000 shares reported therein equated to a direct beneficial ownership of 439,392 shares.
- (3) Mr. Bystedt exercised a warrant to purchase 67,773 shares of Neonode common stock (reflecting the 25-for-1 reverse stock split on March 25, 2011) and utilized a net exercise provision of the warrant. As a result, Mr. Bystedt was issued a net of 55,338 shares. The \$7.494 net exercise disposition value reflects the average price of Neonode common stock for the five days prior to the exercise.
- (4) Mr. Bystedt exercised an indirect beneficially-owned warrant to purchase 227,661 shares of Neonode common stock (reflecting the 25-for-1 reverse stock split on March 25, 2011) and utilized a net exercise provision of the warrant. As a result, Mr. Bystedt was issued a net of 185,890 shares. The \$7.494 net exercise disposition value reflects the average price of Neonode common stock for the five days prior to the exercise.

Remarks:

This amendment solely corrects the amount of shares of Neonode common stock disposed in the final row of column 4 corresponding to the above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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