## Edgar Filing: Main Street Capital CORP - Form 4

Main Stree	t Capital CORP												
Form 4													
January 23													
FOR	VI 4 <sub>UNITED</sub>	) STATES	SECU	RITI	ES	AND EX	СНА	NG	E CON	IMISSION		3 APPR	OVAL
	UT (TTEE	0111110				n, D.C. 2			2001		OMB Number	: 3	235-0287
Check this box if no longer subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires	Is	nuary 31, 2005
											Estimated average burden hours per response 0.5		
Form 5 obligat may co	Filed pu	(a) of the l	response 0 suant to Section 16(a) of the Securities Exchange Act of 1934, a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										0.0
(Print or Type	e Responses)												
1. Name and Appling N	2. Issuer Name <b>and</b> Ticker or Trading Symbol Main Street Capital CORP [MAIN]						Issu	5. Relationship of Reporting Person(s) to Issuer					
		<b>AC11</b>			•		-		•]	(Check	all applic	able)	
(Last) 1300 POS	(First) T OAK BLVD., S	(Middle) STE. 800	3. Date (Month/ 01/13/	/Day/Ye		Fransactior			X 	Director Officer (give t		10% Ow Other (sp	
	(Street)		4. If An Filed(M			Date Origin ar)	al		App	ndividual or Joi	-	-	heck
HOUSTO	N, TX 77056									Form filed by O Form filed by Me son			ng
(City)	(State)	(Zip)	Ta	ble I - N	Non	-Derivative	Secur	ities	Acquire	d, Disposed of,	or Benefi	cially O	wned
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deeme Execution I any (Month/Day/Year)			Date, if TransactionDisposed of (D) Code (Instr. 3, 4 and 5)					d (A) or	5. Amount of Securities Beneficially Owned Following	Own Forn Dire or In	nership m: ect (D) ndirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amoun	(A or t (D	•	Price	Reported Transaction(s (Instr. 3 and 4	· · ·	tr. 4)	
Common Stock	01/13/2017			J <u>(1)</u>	V	38.74	А	\$	5 36.93	113,790.21	47 D		
Common Stock	01/13/2017			J <u>(1)</u>	V	473.824	4 A	\$ 3	6.9736	114,264.03	91 D		
Common Stock	01/13/2017			J <u>(1)</u>	V	51.398	А	\$	6 36.87	114,315.43	571 D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

Appling Michael JR 1300 POST OAK BLVD.		
STE. 800	Х	
HOUSTON, TX 77056		
Signatures		

/s/ Jason B. Beauvais as Attorney-in-Fact for Michael Appling

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Director

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

10% Owner Officer Other

(1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/23/2017 Date