First Foundation Inc. Form 4

March 02, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * **BRIGGS MAX**

2. Issuer Name and Ticker or Trading

Symbol

First Foundation Inc. [FFWM]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle)

(Zip)

2. Transaction Date 2A. Deemed

3. Date of Earliest Transaction

(Month/Day/Year)

02/28/2017

_X__ Director 10% Owner Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

below)

18101 VON KARMAN, SUITE 700

(State)

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

5. Amount of

Securities

(Street)

Filed(Month/Day/Year)

(Month/Day/Year) Execution Date if TransactionAcquired (A) or

IRVINE, CA 92612

(City)

1.Title of

Security

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Security	(Wionin Day/ I car)	Execution Date, ii	Transactio	nii required	(11) 01	Securities	I offin. Direct	manect
(Instr. 3)		any	Code	Disposed	of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	4 and 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
					(4)	Reported		
					(A)	Transaction(s)		
			Code V	Amount	or (D) Price	(Instr. 3 and 4)		
			Couc v	Amount	(D) Thee			
Common						27.554	Ī	By family

Stock

6. Ownership 7. Nature of

Form: Direct Indirect

Common

trust

Stock

6,000 Ι by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: First Foundation Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted sotck unit	<u>(1)</u>	02/28/2017		A	915	05/28/2017	05/28/2017	Common Stock	915	
option	\$ 7.5					08/28/2015	08/27/2022	Common	30,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
.t	Director	10% Owner	Officer	Other		
BRIGGS MAX 18101 VON KARMAN SUITE 700 IRVINE, CA 92612	X					

Signatures

'/s/ John M Michel attorney in fact for Max
Briggs'
03/02/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit grant, no exercise price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2