Edgar Filing: Baltera Robert Jr. - Form 4

Baltera Robert Jr. Form 4												
August 28, 2017 Image: Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Image: Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Image: Check this box if no longer subject to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							N OMB Number: Expires: Estimated burden hou response	average urs per	0287			
(Print or Type Respon	ises)											
1. Name and Address Baltera Robert Jr		Person <u>*</u>	Symbol	NOVC		l Ticker o		-	5. Relationship o Issuer (Cho	of Reporting Per		
(Last) (First) (Middle) 6275 NANCY RIDGE DRIVE, SUITE 110			3. Date of Earliest Transaction (Month/Day/Year) 08/24/2017				X_ Director 10% Owner Officer (give title Other (specify below) below)					
(Street) SAN DIEGO, CA 92121			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(City) (S	State)	(Zip)	Tał	ole I - No	on-D	Derivative	e Secu	rities A	cquired, Disposed	of, or Beneficia	lly Owned	ł
	nsaction Date th/Day/Year)		Date, if	Code (Instr. 3	8)	4. Securi nAcquirec Disposec (Instr. 3, Amount	I (A) of I of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	1
Reminder: Report on	a separate line	e for each cl	ass of sec	urities b	enef	Perse infor requi	ons w matio red to ays a	ho res n cont o respo	or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab								Beneficially Owner securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D

Edgar Filing: Baltera Robert Jr. - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code Securities (Instr. 8) Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)		(A) ed of			(Instr. 3 and 4)		(
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 1.97	08/24/2017		А	42,500		<u>(1)</u>	08/24/2027	Common Stock	42,500	

Reporting Owners

Reporting Owner Name / Address		Relationships						
Ferring e .		Director	10% Owner	Officer	Other			
Baltera Robert Jr. 6275 NANCY RID SAN DIEGO, CA 9	Х							
Signatures								
/s/ Robert Baltera	08/28/2017							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option shares vest and become exercisable in full on the earlier of (i) August 24, 2018 or (ii) immediately prior to commencement of the Issuer's next annual stockholder meeting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

S (