

Gotelli Robert
Form 3
March 04, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gotelli Robert

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/28/2019

3. Issuer Name and Ticker or Trading Symbol
Bank of Marin Bancorp [BMRC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ X ___ Officer ___ Other
(give title below) (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ X ___ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

504 REDWOOD BOULEVARD,
SUITE 100

(Street)

NOVATO,Â CAÂ 94947

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

9,426

D

Â

Common Stock

11,916.0006

I

By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: Gotelli Robert - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to buy)	Â <u>(1)</u>	04/01/2019	Common Stock	3,100	\$ 11.125	D	Â
Stock Options (Right to buy)	Â <u>(1)</u>	04/01/2020	Common Stock	1,400	\$ 16.55	D	Â
Stock Options (Right to buy)	Â <u>(1)</u>	04/01/2021	Common Stock	1,400	\$ 19	D	Â
Stock Options (Right to buy)	Â <u>(1)</u>	04/02/2022	Common Stock	2,200	\$ 19.09	D	Â
Stock Options (Right to buy)	Â <u>(1)</u>	04/01/2023	Common Stock	1,400	\$ 19.675	D	Â
Stock Options (Right to Buy)	Â <u>(1)</u>	04/01/2024	Common Stock	1,300	\$ 22.94	D	Â
Stock Options (Right to buy)	Â <u>(1)</u>	03/02/2025	Common Stock	2,200	\$ 25.375	D	Â
Stock Options (Right to buy)	Â <u>(2)</u>	03/01/2026	Common Stock	2,400	\$ 24.825	D	Â
Stock Options (Right to buy)	Â <u>(2)</u>	03/01/2027	Common Stock	1,560	\$ 34.8	D	Â
Stock Options (Right to buy)	Â <u>(2)</u>	03/01/2028	Common Stock	1,740	\$ 33.575	D	Â
Stock Options (Right to buy)	Â <u>(3)</u>	03/01/2028	Common Stock	980	\$ 33.575	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gotelli Robert 504 REDWOOD BOULEVARD, SUITE 100 NOVATO, CA 94947	Â	Â	Â Executive Vice President	Â

Signatures

Nancy R. Boatright,
Attorney-in-Fact

03/04/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Exercisable 20% per year beginning on first anniversary date of grant
- (2) Exercisable 33% per year beginning on first anniversary date of grant
- (3) Exercisable 33% immediately, then 33% per year on first anniversary date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.