## Edgar Filing: McCarthy J Kevin - Form 4

| McCarthy J<br>Form 4  |   |   |                |                                |               |                   |                               |  |  |   |  |
|---|---|---|----------------|--------------------------------|---------------|-------------------|-------------------------------|--|--|---|--|
| May 02, 20  | 14 <sub>UNITED</sub>                    | STATES                                    |                | RITIES A                       |               |                   | NGE CO                        | OMMISSION  | OMB AF<br>OMB<br>Number:   | PROVAL<br>3235-0287   |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Check this box<br>if no longer<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |   |                |                                |               |                   |                               | 0  |  |   |  |
| (Print or Type  | Responses)                              |   |                |                                |               |                   |                               |  |  |   |  |
| McCarthy J Kevin Sym  |   |   |                | er Name <b>an</b><br>If New Yo |               |                   | ]                             | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |   |  |
| (   |   |   |                |                                |               |                   |                               | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>SEVP & General Counsel  |  |   |  |
|   |   |   |                | led(Month/Day/Year) A          |               |                   |                               | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |   |  |
| (City)  | (State)                                 | (Zip)                                     | Tab            | ole I - Non-l                  | Derivative    | Secur             |                               | Person ired, Disposed of,  | or Beneficiall   | lv Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deem<br>Execution<br>any<br>(Month/Da | ed<br>Date, if | 3.                             |               | ies Ac<br>ed of ( | quired (A)<br>(D)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)   | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 04/30/2019                              |   |                | Code V                         | Amount 25,000 | (D)<br>D          | Price<br>\$<br>49.4292<br>(1) | (Instr. 3 and 4)<br>93,418.084   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |                        |       |  |  |  |  |
|--|---------------|-----------|------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                | Other |  |  |  |  |
| McCarthy J Kevin<br>240 GREENWICH STREET<br>NEW YORK, NY 10286 |               |           | SEVP & General Counsel |       |  |  |  |  |
| Signatures   |               |           |                        |       |  |  |  |  |
| /s/Bennett E. Josselsohn,<br>Attorney-in-Fact                  |               | 05/02     | /2019                  |       |  |  |  |  |
| **Signature of Reporting Person                                |               | Da        | te                     |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the weighted average price of shares sold with actual prices ranging from \$49.4028 to \$49.44. Upon request by the SEC staff, (1) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at

each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.