MENASCE EDUARDO S

Form 4 July 02, 2018

FORM 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB**

3235-0287

Check this box if no longer

Washington, D.C. 20549

Number: January 31, Expires:

2005

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

MENASCE EDUARDO S

Symbol Hillenbrand, Inc. [HI]

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction

Director 10% Owner

ONE BATESVILLE BOULEVARD

(Street)

06/29/2018

(Month/Day/Year)

Officer (give title Other (specify below)

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

BATESVILLE, IN 47006

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

(Middle)

4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

Following Reported

(A) Transaction(s) or

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Security (Instr. 3)

Conversion or Exercise Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. 4. TransactionNumber Code of (Instr. 8) Derivative

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

1

Edgar Filing: MENASCE EDUARDO S - Form 4

	Derivative Security			Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
			Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Deferred Stock Award) 2/11/05	<u>(1)</u>	06/29/2018	A(2)	11	(3)	(3)	Common Stock	11
Restricted Stock Units (Deferred Sock Award) 2/13/06	(1)	06/29/2018	A(2)	10	(3)	(3)	Common Stock	10
Restricted Stock Units (Deferred Stock Award) 2/9/07	(1)	06/29/2018	A(2)	10	(3)	(3)	Common Stock	10
Restricted Stock Units (Deferred Stock Award) 2/11/08	<u>(1)</u>	06/29/2018	A(2)	10	(3)	(3)	Common Stock	10
Restricted Stock Units (Deferred Stock Award) 2/11/09	(1)	06/29/2018	A(2)	27	(3)	(3)	Common Stock	27
Restricted Stock Units (Deferred Stock Award 2/24/10)	(1)	06/29/2018	A(2)	24	(3)	(3)	Common Stock	24
Restricted Stock Units (Deferred Stock Award) 2/23/11	(1)	06/29/2018	A(2)	21	(3)	<u>(3)</u>	Common Stock	21
Restricted Stock Units (Deferred Stock Award) 2/22/12	(1)	06/29/2018	A(2)	20	(3)	(3)	Common Stock	20
Restricted Stock Units (Deferred Stock Award) 2/27/13	(1)	06/29/2018	A(2)	19	(3)	<u>(3)</u>	Common Stock	19

Edgar Filing: MENASCE EDUARDO S - Form 4

Restricted Stock Units (Deferred Stock Award 2/26/14)	<u>(1)</u>	06/29/2018		A(2)	15	(3)	(3)	Common Stock	15
Restricted Stock Units (Deferred Stock Award 2/25/15	<u>(1)</u>	06/29/2018		A(2)	15	(3)	(3)	Common Stock	15
Restricted Stock Units (Deferred Stock Award 2/24/2016	(1)	06/29/2018	,	A(2)	17	(3)	(3)	Common Stock	17
Restricted Stock Units (Deferred Stock Award) 2/22/2017	(1)	06/29/2018		A(2)	12	(3)	(3)	Common Stock	12
Restricted Stock Units (Deferred Stock Award) 2/15/2018	Ш	06/29/2018	,	A(2)	10	(3)	(3)	Common Stock	10
RESTRICTED STOCK UNITS CUMULATIVE TOTAL	(1)					(3)	(3)	Common Stock	221 (4)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MENASCE EDUARDO S ONE BATESVILLE BOULEVARD BATESVILLE, IN 47006

Signatures

By Carol A. Roell As Attorney-In-Fact for Eduardo S. Menasce

07/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of Exercise Price of Derivative Securities is 1-for-1.
- (2) Restricted Stock Units are entitled to dividend equivalent rights which accrue on dividend record dates.
- (3) These stock units vest immediately upon grant. However, for awards granted prior to May 2014, directors must hold the underlying shares of common stock of the Company for six months after they cease serving as a director; for awards granted in May 2014 or later, directors

Reporting Owners 3

Edgar Filing: MENASCE EDUARDO S - Form 4

must hold the underlying shares of common stock of the Company for one day after the director ceases serving.

This amount represents cumulative total of all Restricted Stock Units (deferred stock awards) granted to reporting person. This cumulative total does not represent additional Restricted Stock Units granted to the reporting person, but is merely a total of all awards reported separately on this SEC Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.