

HORIZON BANCORP /IN/

Form 4/A

May 12, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DWIGHT CRAIG M**

(Last) (First) (Middle)

**515 FRANKLIN SQ**

(Street)

**MICHIGAN CITY, IN 46360**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
**HORIZON BANCORP /IN/ [HBNC]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**05/08/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)  
**05/12/2014**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	117,553	D	
Common Stock				(A) or (D)	72,247 <sup>(1)</sup>	I	ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.24	05/08/2014 <sup>(4)</sup>		A	7,853	<sup>(2)</sup> 06/18/2023	Common Stock	7,853
Employee Stock Option (Right to Buy)	\$ 22.2	05/08/2014 <sup>(5)</sup>		A	6,923	<sup>(3)</sup> 03/18/2024	Common Stock	6,923

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DWIGHT CRAIG M 515 FRANKLIN SQ MICHIGAN CITY, IN 46360	X		Chief Executive Officer	

## Signatures

/s/ Craig M  
Dwight

05/12/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares received in the ESOP and Thrift Plans
- (2) The options vest in three equal annual installments beginning on the anniversary date of June 18, 2013
- (3) The options vest in three equal annual installments beginning on the anniversary date of March 18, 2014
- (4)

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The option grant was approved by the Compensation Committee of Horizon Bancorp on June 18, 2013, pursuant to the 2013 Omnibus Equity Incentive Plan (the "Plan"), subject to shareholder approval of the Plan. The Horizon Bancorp shareholders approved the Plan on May 8, 2014.

- (5) The option grant was approved by the Compensation Committee of Horizon Bancorp on March 18, 2014, pursuant to the 2013 Omnibus Equity Incentive Plan (the "Plan"), subject to shareholder approval of the Plan. The Horizon Bancorp shareholders approved the Plan on May 8, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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