DWIGHT CRAIG M
Form 4
May 11, 2018

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DWIGHT CRAIG M

(Last) (First) (Middle)

515 FRANKLIN SQ

(Street)

MICHIGAN CITY, IN 46360

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HORIZON BANCORP INC /IN/ [HBNC]

3. Date of Earliest Transaction (Month/Day/Year)
05/09/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/09/2018		M		1,907	A	$ 15.89	153,153	D	
Common Stock	05/09/2018		S		1,907	D	$ 29.87	151,246	D	
Common Stock	05/10/2018		M		2,596	A	$ 15.89	153,842	D	
Common Stock	05/10/2018		S		2,596	D	$ 29.84	151,246	D	
Common Stock	05/10/2018		M		6,884	A	$ 15.57	158,130	D	

Common Stock	05/10/2018		S		6,884	D	$ 29.84	151,246	D	
Common Stock								93,193	I	By ESOP
Common Stock								26,200	I	By ThriftPlan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Employee Stock Option	$ 15.89	05/09/2018		M			1,907	03/17/2018 [(1)](#)	03/17/2025	Common Stock	1,907
Employee Stock Option	$ 15.89	05/10/2018		M			2,596	03/17/2018 [(1)](#)	03/17/2025	Common Stock	2,596
Employee Stock Option	$ 15.57	05/10/2018		M			6,884	03/15/2019 [(2)](#)	03/15/2026	Common Stock	6,884

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DWIGHT CRAIG M 515 FRANKLIN SQ MICHIGAN CITY, IN 46360			Chief Executive Officer	

Signatures

/s/ Craig M. Dwight	05/11/2018
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** The option was granted on 03/17/2015 and vested in three equal installments beginning on the first anniversary of the date of grant.
- **(2)** The option was granted on 03/15/2016 and vested in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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