

ALIGN TECHNOLOGY INC
Form 4
March 01, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LACOB JOSEPH

(Last) (First) (Middle)

**C/O ALIGN TECHNOLOGY
INC, 881 MARTIN AVE.**

(Street)

SANTA CLARA, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ALIGN TECHNOLOGY INC
[ALGN]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/28/2005	02/28/2005	P		500	A	\$ 7.48	760,208	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P		5,200	A	\$ 7.49	765,408	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P		1,800	A	\$ 7.5	767,208	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P		1,608	A	\$ 7.51	768,816	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P		1,892	A	\$ 7.52	770,708	I	By Lacob Trust ⁽¹⁾

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Common Stock	02/28/2005	02/28/2005	P	5,200	A	\$ 7.53	775,908	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	4,900	A	\$ 7.54	780,808	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	3,200	A	\$ 7.55	784,008	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	5,500	A	\$ 7.56	789,508	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	2,800	A	\$ 7.57	792,308	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,900	A	\$ 7.58	794,208	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,500	A	\$ 7.59	795,708	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	2,700	A	\$ 7.61	798,408	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	2,200	A	\$ 7.62	800,608	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	4,600	A	\$ 7.63	805,208	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	3,500	A	\$ 7.64	808,708	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	2,000	A	\$ 7.65	810,708	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	900	A	\$ 7.66	811,608	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	4,200	A	\$ 7.67	815,808	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,400	A	\$ 7.69	817,208	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,100	A	\$ 7.71	818,308	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,300	A	\$ 7.73	819,608	I	By Lacob Trust ⁽¹⁾
Common Stock	02/28/2005	02/28/2005	P	1,919	A	\$ 7.75	821,527	I	By Lacob Trust ⁽¹⁾
Common Stock							1,131,202	D	
Common Stock							1,492,421	I	By KPCB VIII ⁽²⁾
							86,526	I	

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Common
Stock

By KPCB
VIII FF ⁽²⁾

Common
Stock

40,516 I

By KPCB
Life ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LACOB JOSEPH
C/O ALIGN TECHNOLOGY INC
881 MARTIN AVE.
SANTA CLARA, CA 94025

X

Signatures

Joseph Lacob 03/01/2005

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Lacob disclaims beneficial ownership of the shares of the Issuer's Common Stock held by the Lacob Trust except to the extent of any indirect pecuniary interest in his distributive share therein.

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- Mr. Lacob is a general partner of KPCB VIII Associates, L.P., a CA limited partnership ("KPCB VIII Associates"). KPCB VIII Associates is the general partner of Kleiner Perkins Caufield & Byers VIII, L.P., a CA limited partnership ("KPCB VIII") and KPCB Founders Fund, L.P., a CA limited partnership ("KPCB VIII FF"). Mr. Lacob is also a general partner of KPCB VII Associates, a CA
- (2) limited partnership ("KPCB VII Associates"). KPCB VII Associates is the general partner of KPCB Life Sciences Zaibatsu Fund II, L.P., a CA limited partnership ("KPCB Life"). Mr. Lacob disclaims beneficial ownership of the shares of the Issuer's Common Stock held directly by KPCB VIII, KPCB VIII FF and KPCB Life, except to the extent of any indirect pecuniary interest in his distributive share therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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