TOMPKINS FINANCIAL CORP

Form SC 13G/A

February 12, 2014
UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
SCHEDULE 13G
Information to be included in statements filed pursuant to Rules 13d-1(b)
and (c) and amendments thereto filed pursuant to Rule 13d-2(b)
(Amendment No. 13*)
TOMPKINS FINANCIAL CORPORATION
(Name of Issuer)
Common Stock
(Title of Class of Securities)
(1110 of class of securities)
<u>890110 10 9</u>

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. Page 2 of 5 Pages 13G 890110 10 9 NAME OF REPORTING PERSON I.R.S IDENTIFICATION NO. OF ABOVE PERSON (ENTITIES ONLY) 1. Tompkins Trust Company EIN: 15-0470650 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2. (a) (b) SEC USE ONLY 3. CITIZENSHIP OR PLACE OF **ORGANIZATION** 4. **United States** NUMBER OF **SHARES BENEFICIALLY** SOLE VOTING POWER OWNED BY 5. **EACH** 0 REPORTING **PERSON** WITH SHARED VOTING POWER 6.

1,516,415

7. SOLE DISPOSITIVE POWER

0

SHARED DISPOSITIVE POWER

8.

1,516,415

AGGREGATE AMOUNT

9. BENEFICIALLY OWNED BY EACH REPORTING PERSON 1,516,415 CHECK BOX IF THE AGGREGATE

10. AMOUNT IN ROW (9)

EXCLUDES CERTAIN SHARES\*
PERCENT OF CLASS REPRESENTED

BY AMOUNT IN ROW (9)

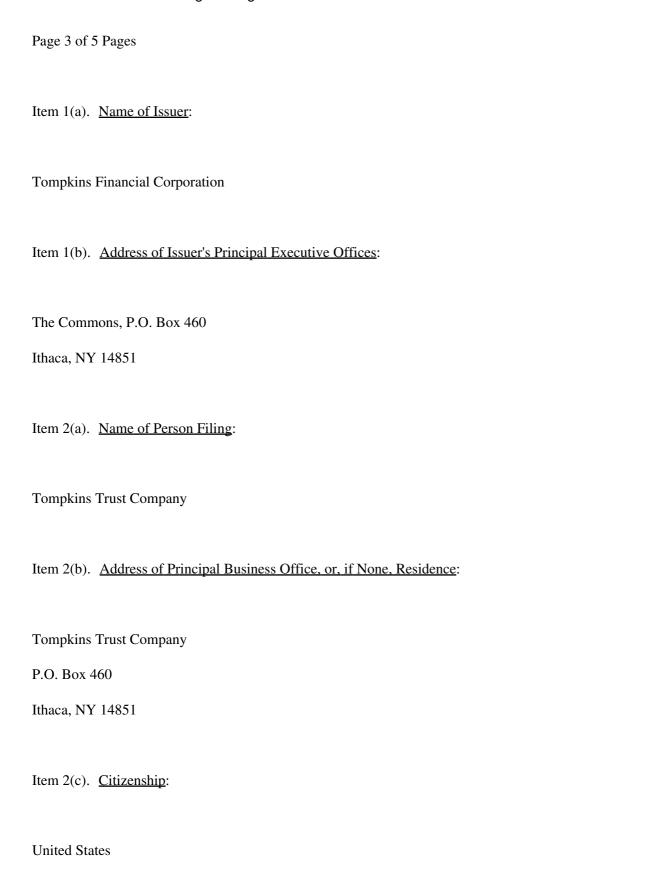
10.28%

TYPE OF REPORTING PERSON\*

12.

BK

<sup>\*</sup> SEE INSTRUCTIONS



Item 2(d). <u>Title of Class of Securities</u> :
Common Stock, par value \$0.10 par value
Item 2(e). <u>CUSIP Number</u> :
890110 10 9
Item 3. <u>If this statement is filed pursuant to Rules 13d-1(b) or 13d-2(b), check whether the person filing is a:</u>
x Bank as defined in Section 3(a)(6) of the Act.
Item 4(a). Amount Beneficially Owned:
1,516,415
Item 4(b). Percent of Class:
10.28%

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Item 4(c). Number of shares as to which such person has:
(i) Sole power to vote or to direct the vote:
0
(ii) Shared power to vote or to direct the vote:
1,516,415
(iii) Sole power to dispose or to direct the disposition of:
0
(iv) Shared power to dispose or to direct the disposition of:
1,516,415
Item 5. Ownership of Five Percent or Less of a Class:
Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person:
Not applicable.
Item 7. <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company</u> :
Not applicable.
Item 8. <u>Identification and Classification of Members of the Group</u> :
Not applicable.
Item 9. Notice of Dissolution of Group:
Not applicable.

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Item 10. <u>Certification</u> :
By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired and are not held in connection with or as a participant in any transaction having such purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.
SIGNATURE
After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.
<u>02/11/14</u>
Date
/s/ Francis M. Fetsko
Signature

### Francis M. Fetsko, EVP & Chief Financial Officer

Name/Title