TAIWAN FUND INC

Form 5

September 16, 2015

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Cook Richard F Jr Symbol TAIWAN FUND INC [TWN] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify 08/31/2015 below) below) FORESIDE COMPLIANCE Chief Compliance Officer SERVICES. THREE CANAL PLAZA, SUITE 100 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PORTLAND, MEÂ 04101

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

Pers

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | | | | | . , . | , | • |
|------------|---------------------|--------------------|-------------|-----------------|---------|------------------|--------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securities | | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transaction | Acquired (A) | or | Securities | Form: Direct | Indirect |
| (Instr. 3) | | any | Code | Disposed of (| D) | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 an | d 5) | Owned at end | Indirect (I) | Ownership |
| | | | | | ` | of Issuer's | (Instr. 4) | (Instr. 4) |
| | | | | (A | | Fiscal Year | | |
| | | | | OI | | (Instr. 3 and 4) | | |
| | | | | Amount (D |) Price | (| | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(State)

(Zip)

(City)

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless (9-02) the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercisable and | 7. Title and |
|-------------|------------|---------------------|--------------------|-------------|--------|-------------------------|--------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | Number | Expiration Date | Amount of |

8. Price of 9. Derivative of

Edgar Filing: TAIWAN FUND INC - Form 5

D

B O

| Security (Instr. 3) | or Exercise Price of Derivative Security | any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Year) | Underlying Securities (Instr. 3 and 4) | Security (Instr. 5) |
|------------------------|--|-------------------------|--------------------|---|---------------------|--------------------|--|------------------------|
| | | | | (A) (D) | Date Exercisable | Expiration Date | Title Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Cook Richard F Jr | | | | | | |
| FORESIDE COMPLIANCE SERVICES | â | â | Chief Compliance Officer | Â | | |
| THREE CANAL PLAZA, SUITE 100 | А | А | A Chief Comphance Officer | A | | |
| PORTLAND. ME 04101 | | | | | | |

Signatures

/s/ Richard F.
Cook

**Signature of Reporting Person

O4/28/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

Remarks:

No securities are beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2