FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND Form N-PX July 13, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act File No. 811-22039

First Trust Specialty Finance and Financial Opportunities Fund

Exact Name of Registrant as Specified in Declaration of Trust

> W. Scott Jardine First Trust Portfolios L.P. 120 East Liberty Drive, Suite 400 Wheaton, Illinois 60187

Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

Registrant's Telephone Number, including Area Code

Date of fiscal year end: November 30

Date of reporting period: July 1, 2017 - June 30, 2018

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information

collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

Item 1. Proxy Voting Record

VOTE SUMMARY

SECURITY	302635107	MEETING TYPE			Annual
TICKER SYMBOL	FSIC	MEETING DATE	27-Jul-20		
ISIN	US3026351078	AGENDA	934622982		
RECORD DATE	20-Apr-2017	HOLDING RECON	DATE		20-Apr-20
CITY / COUNTRY	/ United States	VOTE DEADLINE	DATE		26-Jul-20
SEDOL(S)		QUICK CODE			
		PROPOSED		MANAGEM	ENT
ITEM	PROPOSAL	BY 	VOTE	RECOMMEND	ATION
1. Election o		Management			
	1 MICHAEL J. HAGAN		For	For	
	2 JEFFREY K. HARROW			For	
	3 PEDRO RAMOS 4 JOSEPH P. UJOBAI		For For	For For	
2. Approve Pr	ivate Placement	Management		For	
APOLLO INVESTMENT CO	ORPORATION				
SECURITY	 03761U106	MEETING TYPE			Annual
TICKER SYMBOL	AINV	MEETING DATE			03-Aug-20
ISIN	US03761U1060	AGENDA			934652428
RECORD DATE	09-Jun-2017	HOLDING RECON	DATE		09-Jun-20
CITY / COUNTRY	/ United States	VOTE DEADLINE	DATE		02-Aug-20
SEDOL(S)		QUICK CODE			
		PROPOSED		MANAGEM	ENT
ITEM	PROPOSAL	BY 	VOTE	RECOMMEND	ATION
1. Election of		Management	_	_	
	1 JEANETTE W. LOEB			For	
	2 FRANK C. PULEO 3 CARL SPIELVOGEL		For For	For For	
	O CULT DETETAOGET				
2 Ratify App	ointment of Independent Auditors	Management	For	F'∩ r	

SECURITY		376546107	MEETING TYPE			Annual
TICKER S	YMBOL	GAIN	MEETING DATE			24-Aug-201
ISIN		US3765461070	AGENDA			934651692
RECORD DA		05-Jun-2017	HOLDING RECON			05-Jun-201
CITY /	COUNTRY	/ United States	VOTE DEADLINE	DATE		23-Aug-201
SEDOL(S)			QUICK CODE			
===:/			PROPOSED		MANAGEM	
ITEM		PROPOSAL			RECOMMEND	ATION
1.	Election o	of Directors	Management	_		
^	7 - 1 1 1	1 ANTHONY W. PARKER	3.5	For		
2.	Authorize	Sale of Assets	Management	For	For	
	CAPITAL IN					
SECURITY		427096508	MEETING TYPE			 Annual
TICKER S	YMBOL	HTGC	MEETING DATE			13-Dec-201
ISIN		US4270965084	AGENDA			934703174
RECORD D	ATE	30-Oct-2017	HOLDING RECON	DATE		30-Oct-201
CITY /	COUNTRY	/ United	VOTE DEADLINE	DATE		12-Dec-201
		States				
SEDOL(S)			QUICK CODE			
			PROPOSED		MANAGEM	ENT
ITEM		PROPOSAL	BY			ATION
1.		of Directors	Management			
		1 ROBERT P. BADAVAS		For	For	
		2 JORGE TITINGER		For	For	
2.	14A Execut	tive Compensation	Management Management	For	For	
3.		tive Compensation Vote	Management	1 Year	1 Yea	r
	Frequency		,			
4.	4 4	pointment of Independent Auditors	Management	For	For	
CM FINAN	CE INC.					
SECURITY		 12574Q103	MEETING TYPE			 Annual
TICKER S		CMFN	MEETING DATE			21-Dec-201
ISIN	ILIDOT	US12574Q1031	AGENDA			934682659
RECORD DA	ATE.		HOLDING RECON	DATE		08-Sep-201
	COUNTRY	/ United	VOTE DEADLINE			20-Dec-201
SEDOL(S)		States 	QUICK CODE			
			PROPOSED		MANAGEM	
ITEM		PROPOSAL			RECOMMEND	ATION
		of Directors	Management			
		1 KEITH LEE	-	For	For	
		2 JULIE PERSILY		For	For	
2.	Authorize	Sale of Assets	Management	For	For	
	PITAL BDC, 	INC.				

SECURITY TICKER S ISIN RECORD D CITY /	YMBOL	12-De	73M1027 c-2017 ted	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE			Annual 06-Feb-201 934715383 12-Dec-201 05-Feb-201
SEDOL(S)				QUICK CODE			
ITEM		PROPOSAL			VOTE		
1A)	Election	 of Directors (Majority Voting)	Management		 For	
	Election	of Directors (Majority Voting) ndependent Auditor	Management	For		
MEDLEY C	APITAL COR	 PORATION					
SECURITY	·	 58503	F106	MEETING TYPE			Annual
TICKER S	YMBOL	MCC		MEETING DATE			13-Feb-201
ISIN			03F1066	AGENDA			934716575
RECORD D			c-2017	HOLDING RECON			19-Dec-201
CITY /	COUNTRY			VOTE DEADLINE	DATE		12-Feb-201
SEDOL(S)		State	S	QUICK CODE			
				PROPOSED		 MANAGEM	 ENT
ITEM		PROPOSAL		BY	VOTE		
1.	Election	 of Directors		Management			
		1 Mr. Jeff T	onkel	,	For	For	
		2 Mr. Mark L	erdal		For	For	
2.	Ratify Ap	pointment of I	ndependent Auditor	rs Management	For	For	
PENNANTP	ARK INVEST	 MENT CORPORATI	ON				
SECURITY	·	 70806	2104	MEETING TYPE			 Annual
TICKER S	YMBOL	PNNT		MEETING DATE			14-Mar-201
ISIN		US708	0621045	AGENDA			934712779
RECORD D	ATE	17-No	v-2017	HOLDING RECON	DATE		17-Nov-201
CITY /	COUNTRY	/ Uni State		VOTE DEADLINE	DATE		13-Mar-201
SEDOL(S)				QUICK CODE			
				PROPOSED		MANAGEM	
ITEM		PROPOSAL		ВҮ		RECOMMEND	ATION
1.		 of Directors		Management			
		1 MR. ADAM B	ERNSTEIN		For	For	
		2 MR. JEFFRE				For	
2.	Ratify Ap	pointment of I	ndependent Auditor	rs Management	For	For	
	TMENT CORP						
SECURITY	·	 30263	5107	MEETING TYPE			Special
TICKER S		FSIC		MEETING DATE			26-Mar-201
ISIN		US302	6351078	AGENDA			934723291

RECORD DATE CITY / COUNTRY	18-Jan-2018 / United States	HOLDING RECON VOTE DEADLINE			18-Jan-201 23-Mar-201
SEDOL(S)		QUICK CODE			
ITEM PROI	POSAL	PROPOSED BY	VOTE	MANAGEM RECOMMEND	
	ment Advisory Agreement ment Advisory Agreement				
CORPORATE CAPITAL TRUST					
	219880101 CCT US2198801019	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE	DATE		Special 26-Mar-201 934723734 22-Jan-201 23-Mar-201
SEDOL(S)		QUICK CODE			
	POSAL			MANAGEM RECOMMEND	ATION
	ment Advisory Agreement ment Advisory Agreement				
TRIPLEPOINT VENTURE GROV	VTH BDC CORP.				
SECURITY TICKER SYMBOL ISIN RECORD DATE CITY / COUNTRY	89677Y100 TPVG US89677Y1001 12-Mar-2018 / United States	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE			Annual 25-Apr-201 934751187 12-Mar-201 24-Apr-201
SEDOL(S)		QUICK CODE			
	POSAL	PROPOSED BY	VOTE	MANAGEM RECOMMEND	ENT
2 St	ajal K. Srivastava cephen A. Cassani ment of Independent Auditors	Management Management Management	For For For For	For For For	
NEW MOUNTAIN FINANCE CO					
SECURITY TICKER SYMBOL ISIN RECORD DATE	647551100 NMFC US6475511001 01-Mar-2018	MEETING TYPE MEETING DATE AGENDA HOLDING RECON			Annual 26-Apr-201 934738571 01-Mar-201 25-Apr-201

ITEM		PROPOSAL		PROPOSED BY	VOTE	MANAGEMI RECOMMENDI	
1.	Election of	Directors		Management			
		1 David Oge	ens		For	For	
		2 Adam B. V	<i>N</i> einstein		For	For	
2.	Ratify Appo	ointment of	Independent Auditors	Management	For	For	
 MAIN STF	REET CAPITAL	CORPORATION	n 				
SECURITY	 <u>'</u>	 5603	35L104	MEETING TYPE			 Annual
TICKER S	SYMBOL	MAIN	1	MEETING DATE			30-Apr-201
ISIN	SIN US56035L1044		5035L1044	AGENDA			934739826
RECORD D	DATE	02-1	Mar-2018	HOLDING RECON	DATE		02-Mar-201
CITY /	COUNTRY	/ Ur	nited	VOTE DEADLINE	DATE		27-Apr-201
		Stat	ces				
SEDOL(S)				QUICK CODE			
T. (7.7.)				PROPOSED		MANAGEM	
ITEM		PROPOSAL		BY 	VOTE	RECOMMENDA	 7.I.TON
1A.	Election of	Directors	(Majority Voting)	Management	For	For	
1B.			(Majority Voting)	Management			
1C.			(Majority Voting)	Management		For	
1D.			(Majority Voting)	Management	For	For	
1E.			(Majority Voting)	Management	For	For	
1F.			(Majority Voting)	Management		For	
1G.			(Majority Voting)	Management		For	
1H.			(Majority Voting)	Management	For	For	
1I.			(Majority Voting)	Management	For	For	
1J.			(Majority Voting)	Management	For	For	
TO.	ETECCION OF			-		FOI	
2	Datifu Anno	sintmont of			For	For	
2.			Independent Auditors	Management		For	
2. 3. 4.	Ratify Appo 14A Executi Approve Cha	ve Compens	ation	Management Management Management	For	For For For	
3.4.	14A Executi	ve Compensarter Amendr	ation	Management	For	For	
3. 4. TRIANGLE	14A Executi Approve Cha	ve Compensa arter Amendr RPORATION	ation ment	Management Management	For	For	
3. 4. TRIANGLE	14A Executi Approve Cha	ve Compensarter Amendr	ation ment 348109	Management Management Management MEETING TYPE	For	For	Annual
3. 4. TRIANGLE SECURITY TICKER S	14A Executi Approve Cha	ve Compensarter Amendr	ation ment 	Management Management Management MEETING TYPE MEETING DATE	For	For	02-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN	14A Executi Approve Cha C CAPITAL COF	rter Amendr RPORATION 8958 TCAR	ation ment 	Management Management Management MEETING TYPE MEETING DATE AGENDA	For For	For	02-May-203 934736983
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D	14A Executi Approve Cha C CAPITAL COF	rve Compensarter Amendr RPORATION 8958 TCAI US89	ation ment 	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON	For For	For	02-May-201 934736983 22-Feb-201
3. 4. TRIANGLE SECURITY TICKER S ISIN	14A Executi Approve Cha C CAPITAL COF	rve Compensarter Amendr RPORATION 8958 TCAI US89 22-I	ation ment 348109 958481090 Feb-2018 hited	Management Management Management MEETING TYPE MEETING DATE AGENDA	For For	For	02-May-201 934736983 22-Feb-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D	14A Executi Approve Cha Company Country Approve Cha Country	RPORATION TCAN US89 22-I / Un Stat	ation ment 	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE	For For DATE DATE	For	02-May-201 934736983 22-Feb-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD E CITY /	14A Executi Approve Cha Company Country Approve Cha Country	RPORATION TCAN US89 22-I / Un Stat	ation ment 348109 958481090 Feb-2018 hited	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED	For For	For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD E CITY /	14A Executi Approve Cha	RPORATION TCAF US89 22-F / Ur Stat	ation ment 	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY	For For	For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S)	14A Executi Approve Cha	RPORATION 8958 TCAH US89 22-H / Ur State	ation ment 	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management	For For DATE DATE VOTE For	For For MANAGEMI RECOMMEND	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD C CITY / SEDOL(S) ITEM	14A Executi Approve Cha C CAPITAL COF SYMBOL DATE COUNTRY Election of	RPORATION 8958 TCAH US89 22-H / Un State	ation ment 348109 2958481090 Feb-2018 mited	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY	For For DATE DATE VOTE For	For For MANAGEMI RECOMMEND.	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD C CITY / SEDOL(S) ITEM 1.1	14A Executi Approve Cha C CAPITAL COF SYMBOL DATE COUNTRY Election of Election of Election of	PROPOSAL PROPOSAL Directors Directors	Majority Voting) (Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management Management Management Management	FOR FOR FOR FOR FOR	MANAGEM RECOMMEND. For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD C CITY / SEDOL(S) ITEM 1.1 1.2	14A Executi Approve Cha C CAPITAL COF SYMBOL DATE COUNTRY Election of Election of Election of	PROPOSAL PROPOSAL Directors Directors	Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management Management Management Management	FOR FOR FOR FOR FOR	MANAGEM RECOMMEND. For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S) ITEM 1.1 1.2 1.3	14A Executi Approve Cha C CAPITAL COF CY SYMBOL DATE COUNTRY Election of	PROPOSAL PROPOSAL Directors Directors Directors	Majority Voting) (Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management Management	FOR FOR FOR FOR FOR	MANAGEM RECOMMEND For For	02-May-20: 934736983 22-Feb-20: 01-May-20:
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S) ITEM 1.1 1.2 1.3 1.4	14A Executi Approve Cha	PROPOSAL PROPOSAL Directors Directors Directors Directors Directors	Majority Voting) (Majority Voting) (Majority Voting) (Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management Management Management Management Management	POT FOT FOT FOT FOT	MANAGEM RECOMMEND For For For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S) ITEM 1.1 1.2 1.3 1.4 1.5	14A Executi Approve Cha	PROPOSAL PROPOSAL Directors Directors Directors Directors Directors Directors Directors	Majority Voting) (Majority Voting) (Majority Voting) (Majority Voting) (Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management Management Management Management Management Management Management Management Management	POT FOT FOT FOT FOT FOT FOT FOT FOT FOT F	MANAGEM RECOMMEND For For For For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S) ITEM 1.1 1.2 1.3 1.4 1.5 1.6	14A Executi Approve Cha	PROPOSAL PROPOSAL Directors	Majority Voting) (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management	POT FOT FOT FOT FOT FOT FOT FOT FOT FOT F	MANAGEM RECOMMEND. For For For For For	02-May-201 934736983 22-Feb-201 01-May-201
3. 4. TRIANGLE SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S) ITEM 1.1 1.2 1.3 1.4 1.5 1.6 1.7	14A Execution Approve Characteristics of Election of E	PROPOSAL PROPOSAL Directors	Ation ment 348109 9958481090 Feb-2018 hited tes (Majority Voting)	Management Management Management MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE PROPOSED BY Management	POT FOT FOT FOT FOT FOT FOT FOT FOT FOT F	MANAGEMI RECOMMEND. For For For For For For	02-May-201 934736983 22-Feb-201 01-May-201

KCAP FI	NANCIAL, INC.					
ISIN RECORD	CKER SYMBOL KCAP		MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE		Annual 03-May-201 934748774 08-Mar-201 02-May-201	
SEDOL(S)	States	QUICK CODE			
	DDOI		PROPOSED		MANAGEM	
ITEM	PROI	POSAL 	BY 		RECOMMEND	ATION
1.	1 C	rectors hristopher Lacovara ayl W. Pearson	Management		For For	
2. 3.	14A Executive (Management	For	For	
CYS INV	ESTMENTS, INC					
ISIN RECORD	CCURITY 12673A108 CCKER SYMBOL CYS SIN US12673A1088 CCORD DATE 15-Mar-2018 CTY / COUNTRY / United		MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE	DATE		Annual 11-May-201 934758511 15-Mar-201 10-May-201
SEDOL(S)	States	QUICK CODE			
ITEM	PROI	POSAL	PROPOSED BY	VOTE	MANAGEM RECOMMEND	
1.	2 Ta 3 Ka 4 R 5 Da	rectors evin E. Grant anya S. Beder aren Hammond .A. Redlingshafer Jr ale A. Reiss ames A. Stern	Management	For For For For For		
2. 3.	14A Executive (Management Management	For	For	r
4.		ment of Independent Auditors	Management	For	For	
ARES CA	PITAL CORPORATION					
SECURIT TICKER ISIN RECORD	Y SYMBOL DATE COUNTRY	04010L103 ARCC US04010L1035 16-Mar-2018 / United States	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE QUICK CODE	DATE DATE		Special 14-May-201 934768358 16-Mar-201 11-May-201
					MANA CEM	

PROPOSED

MANAGEMENT

ITEM	PROPC	SAL	ВҮ	VOTE	RECOMMEND	ATION
1	Authorize Sale o	f Assets	Management	For	For	
ARES CAP	ITAL CORPORATION					
SECURITY TICKER S ISIN RECORD D CITY /	YMBOL ATE	04010L103 ARCC US04010L1035 16-Mar-2018 / United States	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE			Annual 14-May-20 934771177 16-Mar-20 11-May-20
SEDOL(S)			QUICK CODE			
ITEM	PROPC	SAL	PROPOSED BY	VOTE	MANAGEM RECOMMEND	
1a. 1b. 1c. 2.	Election of Dire	ctors (Majority Voting) ctors (Majority Voting) ctors (Majority Voting) nt of Independent Audito	Management Management	For For	For For For	
 CAPSTEAD	MORTGAGE CORPORA	TION				
SECURITY TICKER S ISIN RECORD D CITY / SEDOL(S)	YMBOL ATE COUNTRY	14067E506 CMO US14067E5069 23-Mar-2018 / United States	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE OUICK CODE			Annual 16-May-20 934766291 23-Mar-20 15-May-20
ITEM	PROPC	SAI.	PROPOSED BY	VOTE	MANAGEM	
1A 1B 1C 1D 1E 1F 1G 1H 2.	Election of Dire 14A Executive Co	ctors (Majority Voting)	Management Management Management Management Management Management Management Management Management	For For For For For	For For For For For For For For	
	ZIALTY LENDING, IN					
SECURITY TICKER S ISIN RECORD D CITY /	YMBOL	87265K102 TSLX US87265K1025 19-Mar-2018 / United States	MEETING TYPE MEETING DATE AGENDA HOLDING RECON VOTE DEADLINE	DATE		Special 17-May-20 934771038 19-Mar-20 16-May-20

ITEM		PROPOSA	L		VOTE	MANAGEN RECOMMENI	
1.	Authorize	Sale of		Management	For	For	
TPG SPEC	IALTY LEND	ING, INC.					
SECURITY	,		 87265K102	MEETING TYPE			Annual
TICKER S	YMBOL		TSLX	MEETING DATE			17-May-20
ISIN		1	US87265K1025	AGENDA			934771317
	ATE		19-Mar-2018	HOLDING RECON			19-Mar-20
CITY /	COUNTRY		/ United States	VOTE DEADLINE	DATE		16-May-20
SEDOL(S)				QUICK CODE			
				PROPOSED		MANAGEN	 1ENT
ITEM		PROPOSA			VOTE	RECOMMENI	
 1.	Election	of Direct	 ors	Management			
-•	ETCCCTOIL		rd Higginbotham	riariagemerie	For	For	
			d Tanemura			For	
2.	Ratify Ap		of Independent Auditor				
ANNALY C	APITAL MAN.		INC. 035710409	MEETING TYPE			
TICKER S			NLY	MEETING DATE			23-May-201
ISIN	111202		US0357104092	AGENDA			934772004
RECORD D	ATE		26-Mar-2018	HOLDING RECON	DATE		26-Mar-201
CITY /	COUNTRY		/ United	VOTE DEADLINE	DATE		22-May-201
SEDOL(S)			States	QUICK CODE			
				QUICK CODE			
ITEM		PROPOSA	L	PROPOSED BY	VOTE	MANAGEN RECOMMENI	
1a.	Election	of Direct	ors (Majority Voting)	Management	For	For	
1b.			ors (Majority Voting)	Management	For	For	
1c.			ors (Majority Voting)	Management		For	
1d.			ors (Majority Voting)	Management		For	
1e.			ors (Majority Voting)	Management	For	For	
2.	14A Execu			Management	For	For	
3.	Ratify Ap	pointment	of Independent Auditor	s Management	For	For	
	TAL CORPOR						
SECURITY			67103B100	MEETING TYPE			Annual
TICKER S	YMBOL	(OFS	MEETING DATE			05-Jun-201
ISIN			US67103B1008	AGENDA			934789201
RECORD D			10-Apr-2018	HOLDING RECON			10-Apr-201
CITY /	COUNTRY		/ United States	VOTE DEADLINE	DATE		04-Jun-201
SEDOL(S)				QUICK CODE			
				PROPOSED		MANAGEN	IENT

ITEM		DPOSAL	ВУ	VOTE	RECOMMEND	ATION
1.	Election of D		Management			
	1 1	Marc Abrams		For	For	
		Jeffrey A. Cerny		For	For	
2.	Ratify Appoint	tment of Independent Auditors	Management	For	For	
THL CRED	 IT, INC.					
SECURITY		872438106	MEETING TYPE			Annual
TICKER S	IMBOL	TCRD	MEETING DATE			07-Jun-201
ISIN	7 T.D	US8724381061	AGENDA			934804801
RECORD D		17-Apr-2018	HOLDING RECON			17-Apr-201
CITY /	COUNTRY	/ United	VOTE DEADLINE	DAIE		06-Jun-201
SEDOL(S)		States	QUICK CODE			
			PROPOSED		MANAGEM	ENT
ITEM	PRO	OPOSAL	ВУ	VOTE	RECOMMEND	ATION
1.	Election of D:		Management			
	1 (Christopher J. Flynn	-	For	For	
		E. P. Giambastiani, Jr.		For	For	
	3 1	Nancy Hawthorne		For	For	
	4 .	James D. Kern		For	For	
	5 I	Deborah McAneny		For	For	
	6 .	Jane Musser Nelson		For	For	
2.	Stock Issuance	е	Management	For	For	
3.	Approve Issuai	nce of Warrants	Management	For	For	
4.	Approve Charte		Management			
5.	Amend Articles	s-Board Related	Management		For	
6.	Approve Motion	n to Adjourn Meeting	Management		For	
NEW MOUN	TAIN FINANCE CO					
SECURITY		647551100	MEETING TYPE			Special
TICKER S	YMBOL	NMFC	MEETING DATE			08-Jun-201
ISIN		US6475511001	AGENDA			934827734
RECORD D	ATE	16-Apr-2018	HOLDING RECON	DATE		16-Apr-201
CITY /	COUNTRY	/ United States	VOTE DEADLINE	DATE		07-Jun-201
SEDOL(S)			QUICK CODE			
			PROPOSED		MANAGEM	ENT
ITEM		OPOSAL 			RECOMMEND	
		Corporate Actions				
 HARVEST		 CORPORATION				
 SECURITY		41753F109	MEETING TYPE			 Annual
						12-Jun-201
TICKER S ISIN	TLIDOTI	HCAP US41753F1093	MEETING DATE AGENDA			934799935
RECORD D	አ ጥፑ		HOLDING RECON	DATE		934799935 17-Apr-201
	COUNTRY		VOTE DEADLINE			17-Apr-201 11-Jun-201
CT11 /	COUNTRI	States	VOIE DEADLINE	DAIT		11-0 u11-201

_							
SEDOL(S)				QUICK CODE			
ITEM	DDAI	POSAL		PROPOSED BY	VOTE	MANAGEM RECOMMEND	
 T 1 EM	F NOI				VOIE	RECOMMEND	
1. 2.			(Majority Voting) Independent Auditors	Management Management	For For	For For	
 GOLDMAN	SACHS BDC, INC.						
SECURITY	·	381	 17U107	MEETING TYPE			Annual
TICKER S	YMBOL	GSBI		MEETING DATE			15-Jun-20
ISIN			3147U1079	AGENDA			934835539
RECORD D			May-2018	HOLDING RECON			10-May-20
CITY /	COUNTRY	/ Ui Stai	nited Les	VOTE DEADLINE	DATE		14-Jun-20
SEDOL(S)				QUICK CODE			
				PROPOSED		MANAGEM	
ITEM	PROE	POSAL		BY	VOTE	RECOMMEND	ATION
1.1	Election of Din	rectors	 (Majority Voting)	Management	For	For	
2.	Ratify Appointm	ment of	Independent Auditors	Management		For	
3.	Miscellaneous (Management	For	For	
 TCP CAPI	TAL CORP.						
SECURITY			38Q103	MEETING TYPE			Annual
TICKER S	YMBOL	TCP		MEETING DATE			19-Jun-20
ISIN	3.000		7238Q1031	AGENDA			934826477
RECORD D			Apr-2018 nited	HOLDING RECON			20-Apr-20 18-Jun-20
CIII /	COUNTRY	/ UI Stat		AOIE NEWNFINE	DAIL		10-0 un-20
SEDOL(S)				QUICK CODE			
				PROPOSED		MANAGEM	ENT
ITEM	PROF	POSAL		BY	VOTE	RECOMMEND	ATION
1.	Election of Dia	rectors		Management			
			A. Corbet		For	For	
		ric J. I			For	For	
			ie Reiss		For	For	
		eter E.			For	For	
		rian F.			For	For	
		ard M	. Levkowitz		For	For	
			775 ~		T7		
2 a	7 Ra	ajneesh		Managomon+	For	For	
	7 Ra Approve Investm	ajneesh ment Ad	visory Agreement	Management Management	For	For	
2b.	7 Ra Approve Investm Approve Investm	ajneesh ment Ad ment Ad	visory Agreement visory Agreement	Management Management Management			
2b. 3.	7 Ra Approve Investm	ajneesh ment Ad ment Ad	visory Agreement visory Agreement	Management	For For	For For	
2b. 3. 4.	7 Ra Approve Investm Approve Investm Miscellaneous C Stock Issuance	ajneesh ment Ad ment Ad Corporat	visory Agreement visory Agreement te Actions CORP.	Management Management Management	For For For	For For For	
2b. 3. 4. TRIPLEPO	7 Ra Approve Investm Approve Investm Miscellaneous (Stock Issuance	ajneesh ment Ad ment Ad Corpora WTH BDC	visory Agreement visory Agreement Le Actions	Management Management Management	For For For	For For For	 Special
	7 Ra Approve Investm Approve Investm Miscellaneous (Stock Issuance	ajneesh ment Ad ment Ad Corpora WTH BDC	visory Agreement visory Agreement te Actions CORP.	Management Management Management	For For For	For For For	 Special 21-Jun-20

RECORD D.	ATE COUNTRY	18-May-2018 / United	HOLDING RECON VOTE DEADLINE			18-May-201 20-Jun-201
SEDOL(S)		States	QUICK CODE			
ITEM	PROI	POSAL	PROPOSED BY		MANAGEM RECOMMEND	
1.	Miscellaneous (Corporate Actions	Management	For	For	
	CAPITAL CORPORA					
SECURITY		01374T102	MEETING TYPE			 Annual
	YMBOL	ABDC	MEETING DATE			26-Jun-201
ISIN	111202	US01374T1025	AGENDA			934840869
RECORD D.	ATF.	18-Apr-2018	HOLDING RECON	DATE		18-Apr-201
	COUNTRY	/ United	VOTE DEADLINE			25-Jun-201
SEDOL(S)		States	QUICK CODE			
			PROPOSED		MANAGEM	 ENT
ITEM		POSAL	BY		RECOMMEND	
1.	Election of Dir		Management			
± •		avid Scopelliti	riariagement	For	For	
		dward Grebow		For	For	
2.		ment of Independent Auditor:	Management			
z. 3.		ment of independent Auditor: r Amendment	Management Management			
STELLUS	 CAPITAL INVESTME	ENT CORPORATION				
SECURITY		 858568108	MEETING TYPE			 Annual
TICKER S		SCM	MEETING DATE			28-Jun-201
ISIN	1111011	US8585681088	AGENDA			934814701
	ATE		HOLDING RECON	DATE		05-Apr-201
	COUNTRY	/ United	VOTE DEADLINE			27-Jun-201
CIII /	COUNTRI	States	VOIE DEADLINE	DAIL		27-0411-201
SEDOL(S)			QUICK CODE			
			PROPOSED		MANAGEM	ENT
ITEM		POSAL 	BY 		RECOMMEND	ATION
1.	Election of Dir		Management			
		obert T. Ladd		For	For	
	2 Ј.	. Tim Arnoult		For	For	
	3 Pa	aul Keglevic		For	For	
2.	Stock Issuance		Management		For	
3.	Amend Articles, in Capital	Charter to Reflect Changes	Management	For	For	
4.	-	to Adjourn Meeting	Management	For	For	
	CAPITAL INC					
SECURITY		427096508	 MEETING TYPE			 nnual
TICKER S		HTGC	MEETING TIFE			8-Jun-2018
ISIN	1110011	US4270965084	AGENDA			34846087 -
T >> T 1.4		00121070001	1101111111		J	0 10 10 00 1

RECORD DATE 21-May-2018 HOLDING RECON DATE 21-May-2018 CITY / COUNTRY / United VOTE DEADLINE DATE 27-Jun-2018

States QUICK CODE

ITEM	PROPOSAL	PROPOSED BY	VOTE	MANAGEMENT RECOMMENDATION
1.	Election of Directors	Management		
	1 Thomas J. Fallon		For	For
	2 Brad Koenig		For	For
2.	14A Executive Compensation	Management	For	For
3.	Amend Stock Compensation Plan	Management	For	For
4.	Adopt Non-Employee Director Plan	Management	For	For
5.	Ratify Appointment of Independent Auditors	Management	For	For

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST SPECIALTY FINANCE
AND FINANCIAL OPPORTUNITIES FUND

By (Signature and Title) * /s/ James M. Dykas

James M. Dykas, President and

Chief Executive Officer

Date July 13, 2018

SEDOL(S)

 $^{^{\}star}$ Print the name and title of each signing officer under his or her signature.