

Advaxis, Inc.
Form 8-K
May 27, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): **May 27, 2015**

ADVAXIS, INC.

(Exact Name of Registrant as Specified in Charter)

| | | |
|---|-----------------------------|--------------------------------------|
| Delaware | 000-28489 | 02-0563870 |
| (State or Other Jurisdiction of Incorporation) | (Commission File Number) | (IRS Employer Identification No.) |

305 College Road East

Princeton, New Jersey, 08540

(Address of Principal Executive Offices)

(609) 452-9813

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act.
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act.
- ☐ Pre-commencement communications pursuant to Rule 14d-2b under the Exchange Act.
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act.

Item 5.07. Submission of Matters to a Vote of Security Holders.

The 2015 annual meeting of stockholders of Advaxis, Inc. (“Advaxis”) was held on May 27, 2015. The following matters were voted on by the stockholders: the election of directors, the approval of Advaxis’s 2015 Incentive Plan, and the ratification of the appointment of Marcum LLP as Advaxis’s independent registered public accounting firm for the fiscal year ending October 31, 2015. At the meeting, Dr. James P. Patton, Daniel J. O’Connor, Roni A. Appel, Richard J. Berman, Dr. Samir Khleif, Dr. Thomas J. McKearn, and Dr. David Sidransky were re-elected to the Board.

The vote with respect to each nominee is set forth below:

| Nominee | Total Votes For | Total Votes Withheld | Broker Non-Votes |
|-----------------------|------------------------|-----------------------------|-------------------------|
| Dr. James P. Patton | 14,857,516 | 782,866 | 7,947,997 |
| Daniel J. O’Connor | 15,573,453 | 66,929 | 7,947,997 |
| Roni A. Appel | 14,780,324 | 860,058 | 7,947,997 |
| Richard J. Berman | 14,836,153 | 804,229 | 7,947,997 |
| Dr. Samir Khleif | 15,551,687 | 88,695 | 7,947,997 |
| Dr. Thomas J. McKearn | 15,590,672 | 49,710 | 7,947,997 |
| Dr. David Sidransky | 15,582,730 | 57,652 | 7,947,997 |

The vote with respect to the approval of Advaxis’s 2015 Incentive Plan is set forth below:

| Total Votes For | Total Votes Against | Abstentions | Broker Non-Votes |
|------------------------|----------------------------|--------------------|-------------------------|
| 10,732,997 | 4,764,706 | 142,679 | 7,947,997 |

The vote with respect to the ratification of the appointment of Marcum LLP as Advaxis’s independent registered public accounting firm for the fiscal year ending October 31, 2015, is set forth below:

| Total Votes For | Total Votes Against | Abstentions | Broker Non-Votes |
|------------------------|----------------------------|--------------------|-------------------------|
| 20,298,218 | 3,171,488 | 118,164 | (0) |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ADVAXIS, INC.

(Registrant)

By: */s/ Daniel J. O'Connor*

Daniel J. O'Connor

President and Chief Executive Officer

Date: May 27, 2015

