

ODYSSEY MARINE EXPLORATION INC

Form 3

October 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Longley John D Jr

(Last) (First) (Middle)

5215 WEST LAUREL STREET

(Street)

TAMPA,Â FLÂ 33607

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/06/2014

3. Issuer Name and Ticker or Trading Symbol

ODYSSEY MARINE EXPLORATION INC [OMEX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Operating Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

13,466

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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				Shares		(I) (Instr. 5)	
RSA (Restricted Stock Awards) ⁽¹⁾	Â ⁽²⁾	12/20/2016	Common stock	12,500	\$ 0	D	Â
Stock Options (Right to Buy)	Â ⁽³⁾	09/23/2015	Common stock	20,000	\$ 1.74	D	Â
Stock Options (Right to Buy)	Â ⁽⁴⁾	12/26/2016	Common stock	15,000	\$ 2.73	D	Â
Stock Options (Right to Buy)	Â ⁽⁵⁾	12/31/2017	Common stock	29,352	\$ 2.89	D	Â
Stock Options (Right to Buy)	Â ⁽⁶⁾	12/31/2023	Common stock	23,841	\$ 2.2	D	Â
Stock Options (Right to Buy)	Â ⁽⁷⁾	10/06/2024	Common stock	50,000	\$ 1.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Longley John D Jr 5215 WEST LAUREL STREET TAMPA, FL 33607	Â	Â	Â Chief Operating Officer	Â

Signatures

/s/ John D.
Longley, Jr. 10/08/2014

 Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock award represents a contingent right to receive one share of OMEX common stock.
- (2) RSA vests in three annual installments on 12/20/2014, 12/20/2015 and 12/20/2016.
- (3) Stock options vested in full on 09/30/2012.
- (4) Stock options vest in three annual installments on 12/26/2012, 12/26/2013 and 12/26/2014.
- (5) Stock options vest in three annual installments on 12/31/2013, 12/31/2014 and 12/31/2015.
- (6) Stock options vest in three annual installments on 12/31/2014, 12/31/2015 and 12/31/2016.
- (7) Stock options vest in three annual installments on 10/06/2015, 10/06/2016 and 10/06/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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